

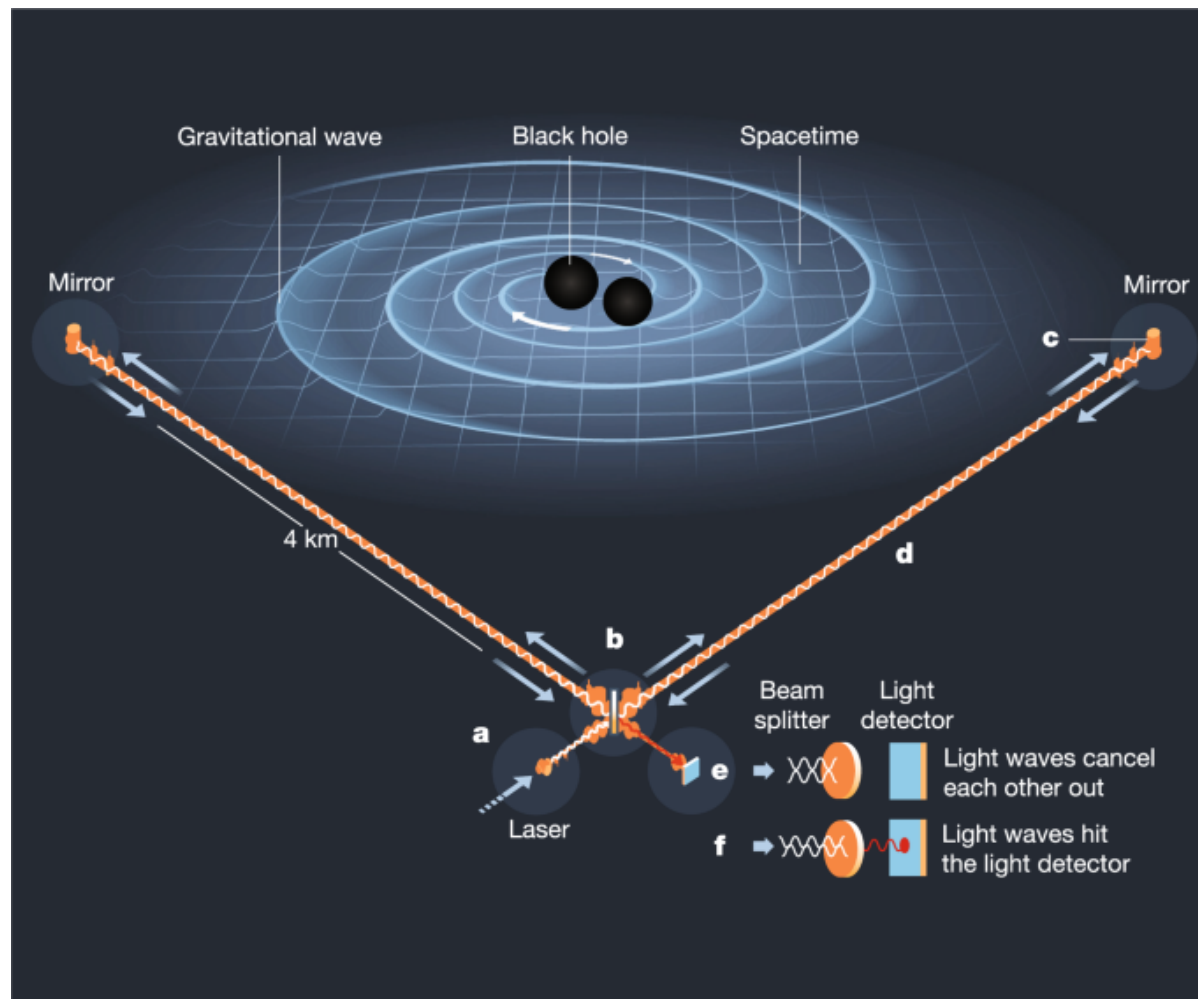
# Primordial Black Holes in the era of Gravitational Wave Astronomy

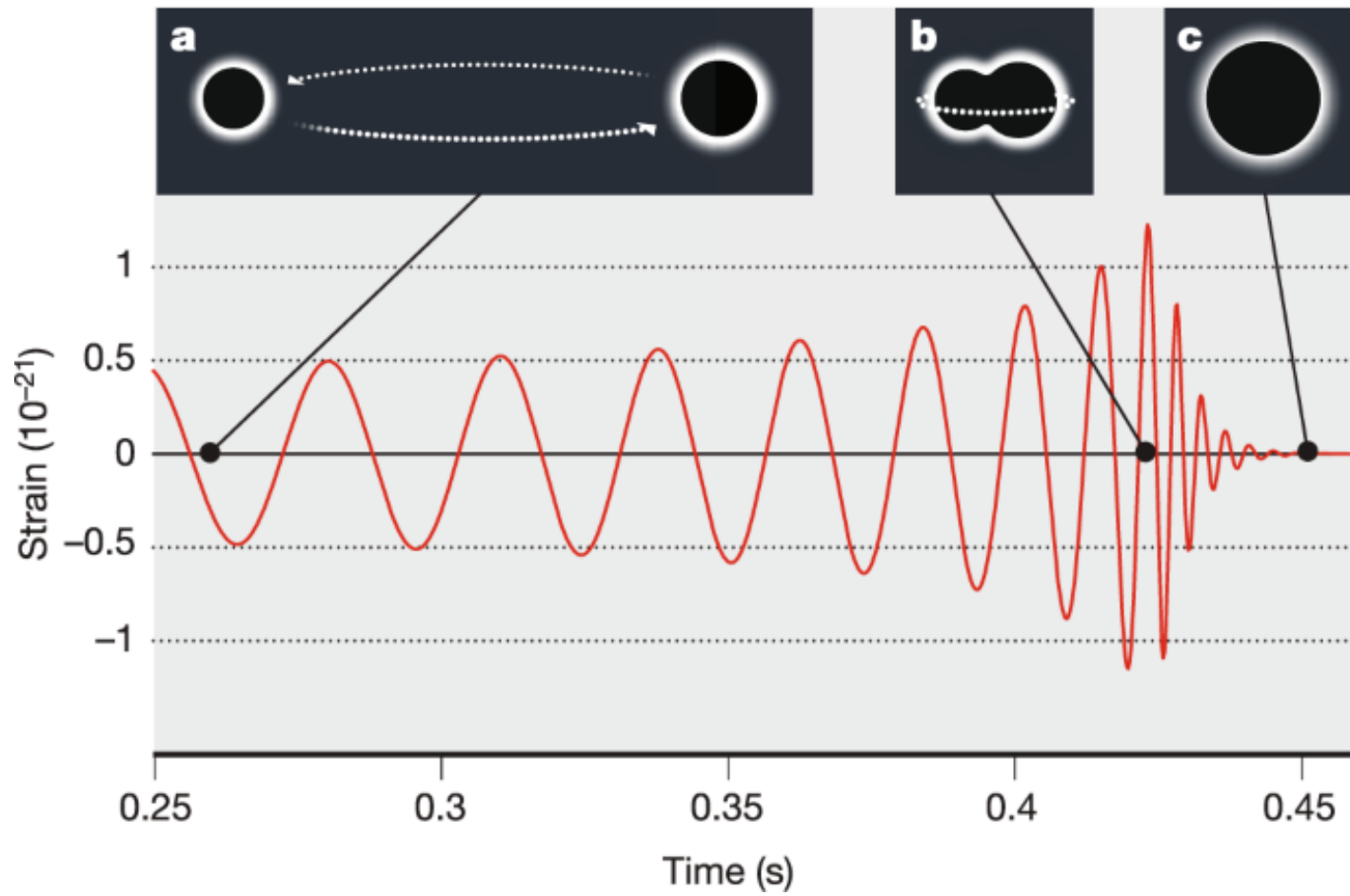
Antonio Riotto  
University of Geneva

# Gravitational waves and Primordial Black Holes are key predictions of General Relativity

Curved spacetime is dynamical

$$ds^2 = -dt^2 + (\delta_{ij} + h_{ij})dx^i dx^j$$





$$\text{Strain} \sim \frac{\delta L}{L} \sim h$$

LIGO Hanford



Kagra



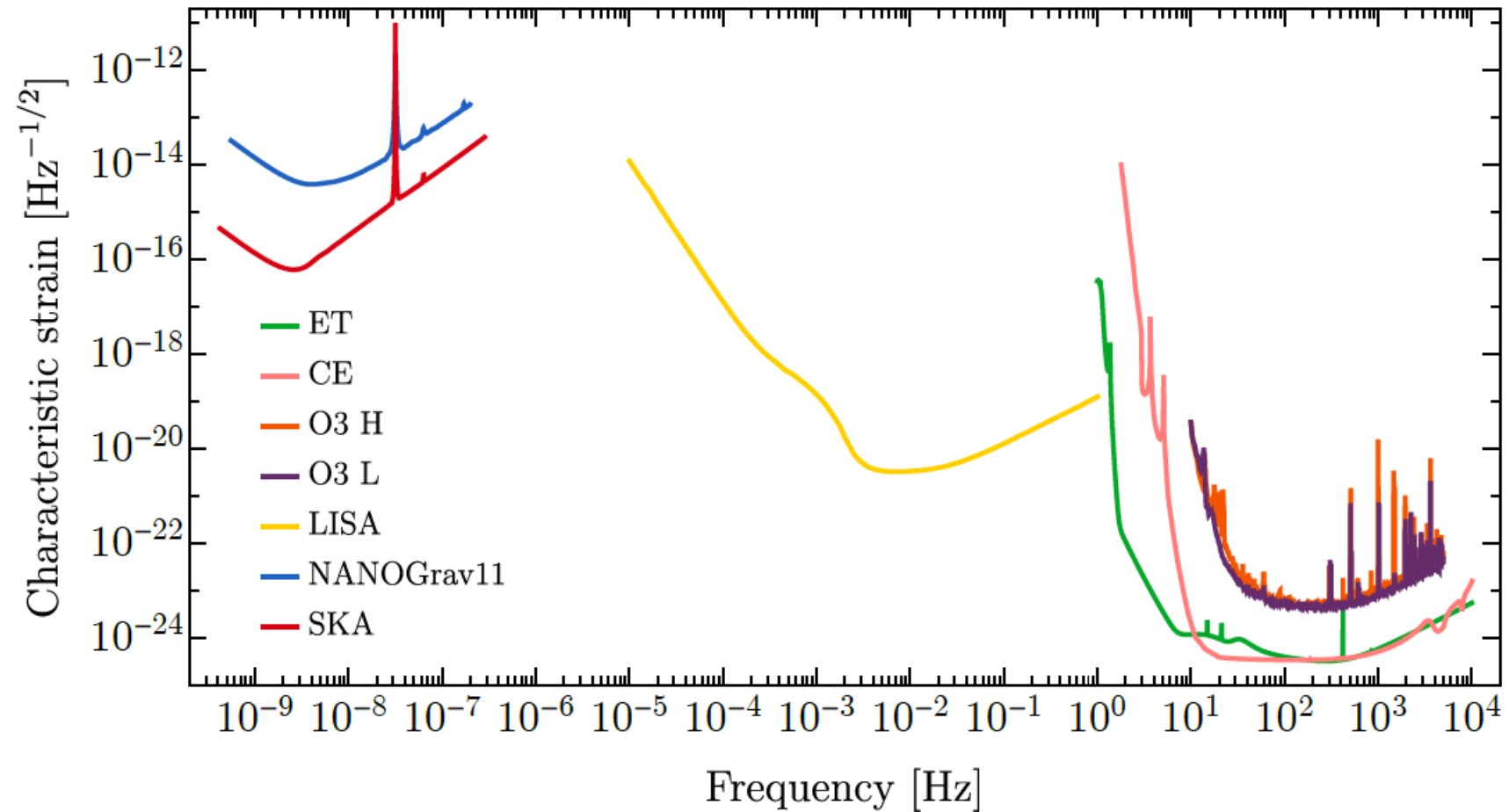
Virgo



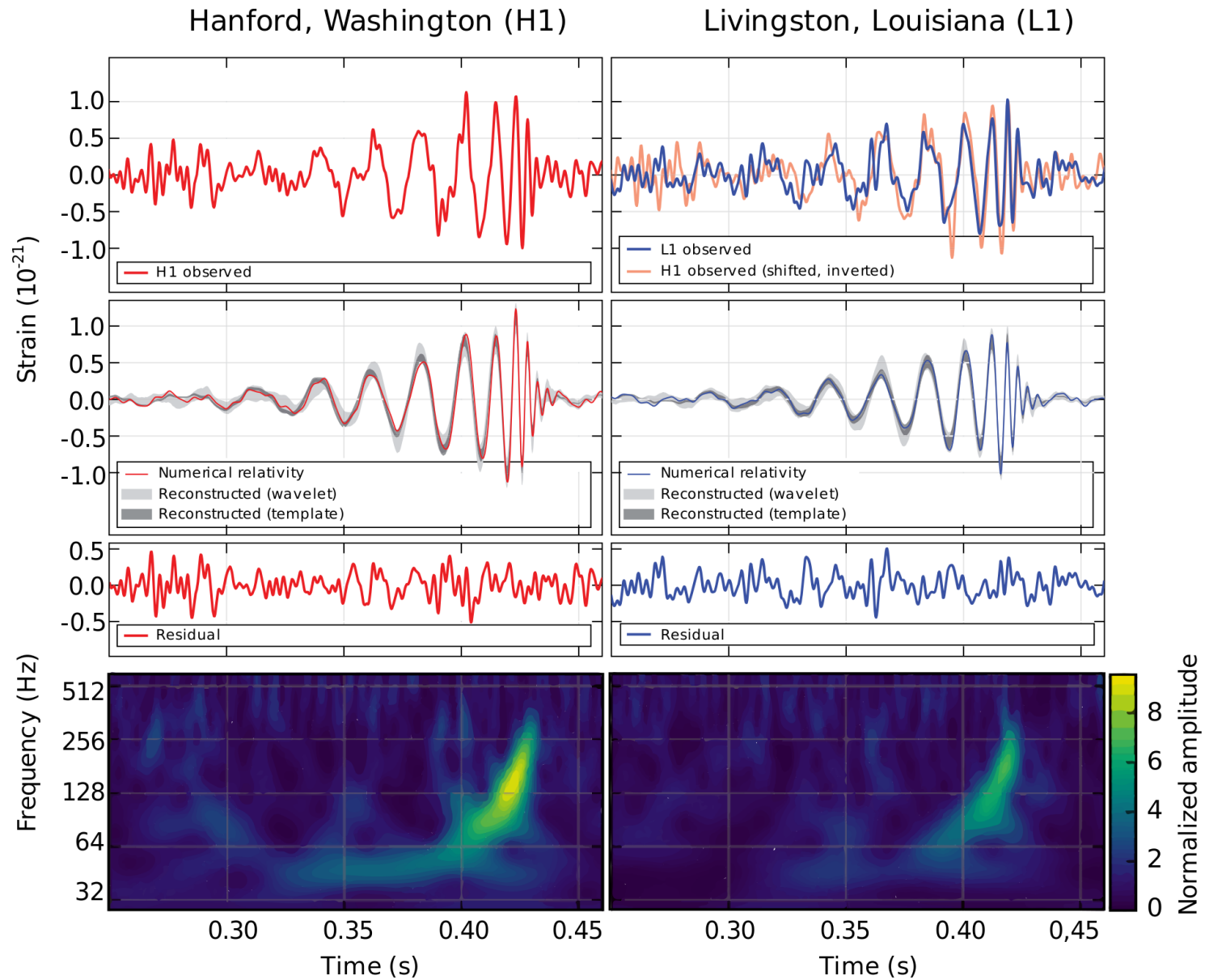
LIGO Livingston



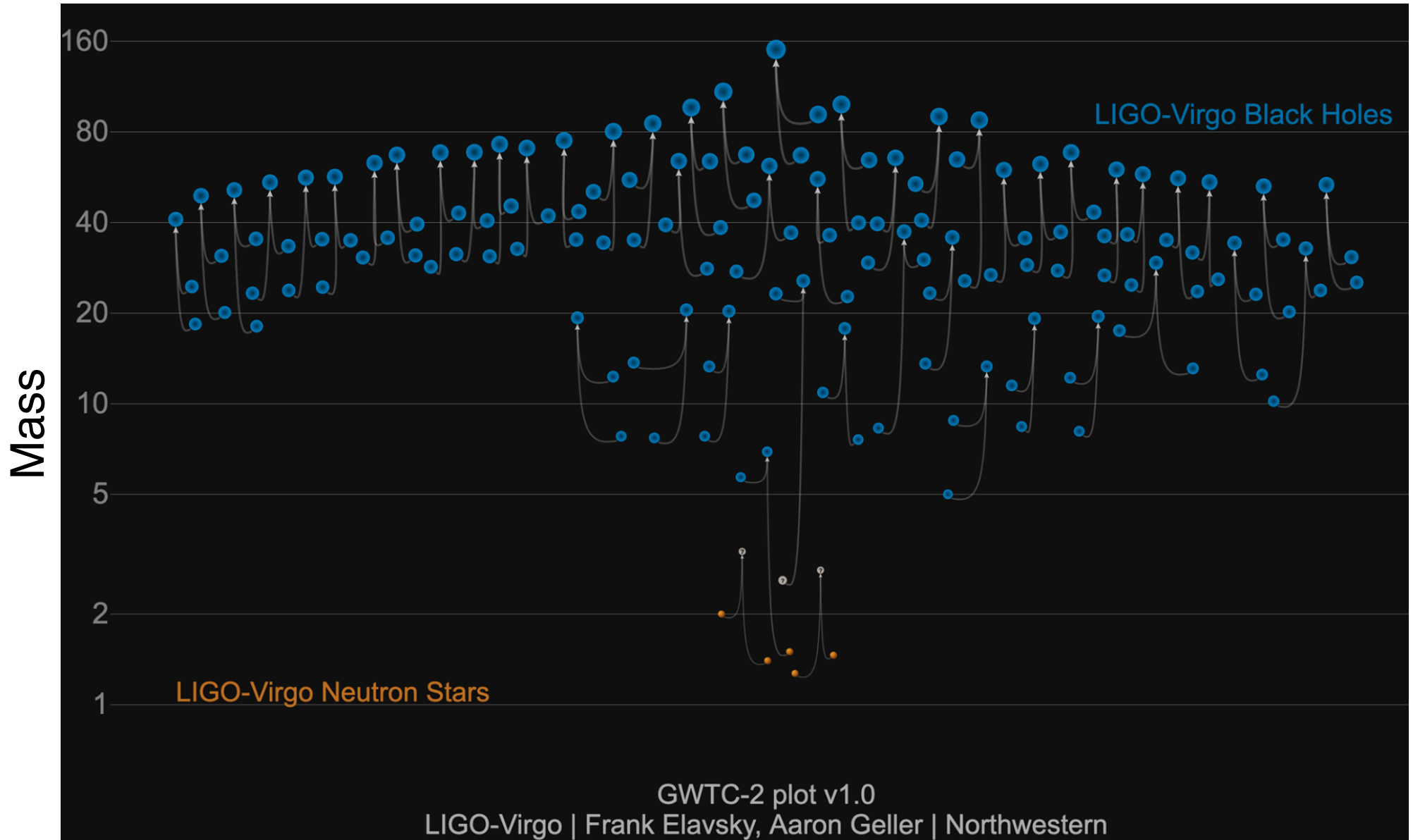
# Current and future sensitivities



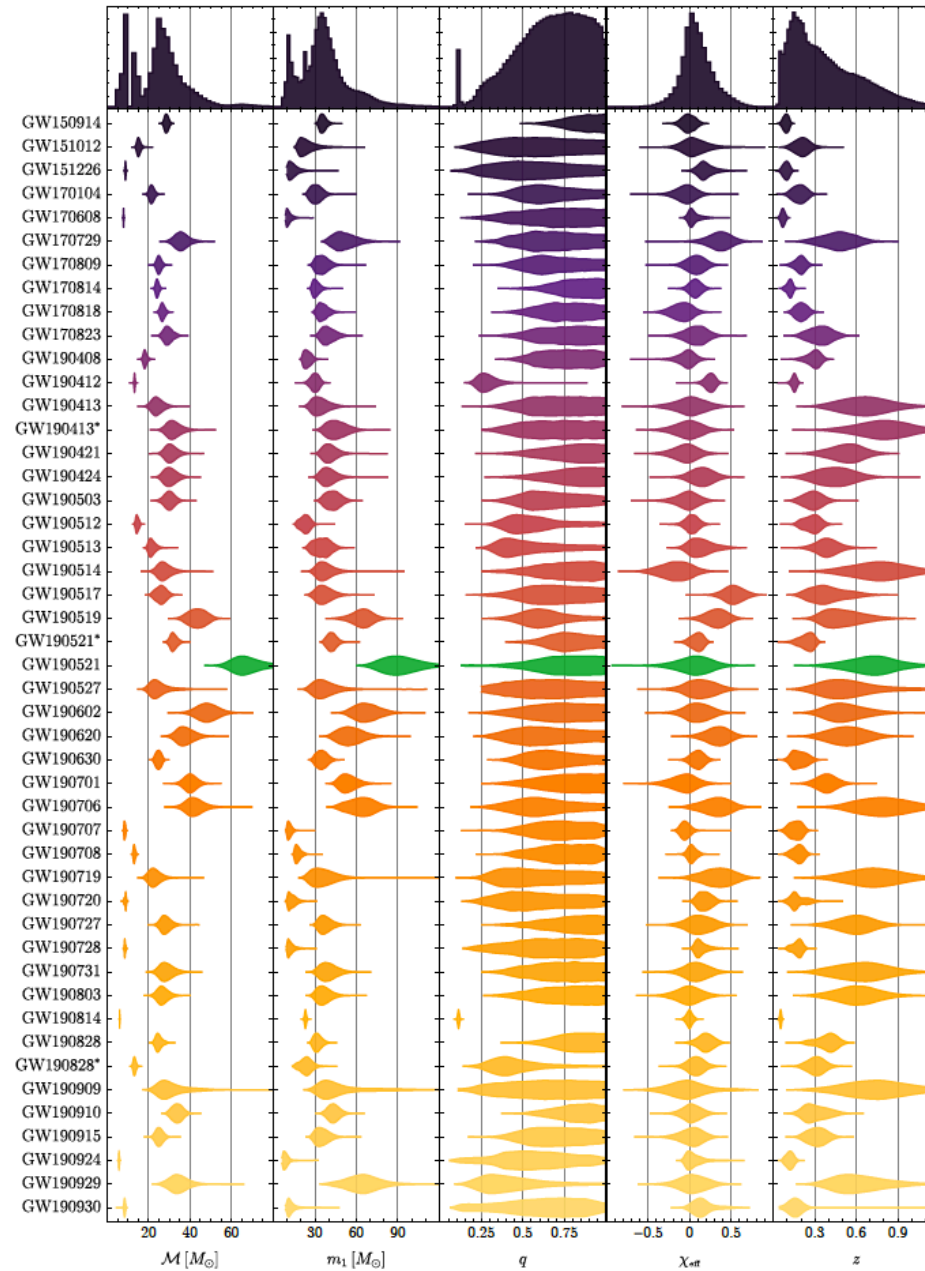
# GW150914



# Era of Gravitational Wave Astronomy



# Era of Gravitational Wave Astronomy

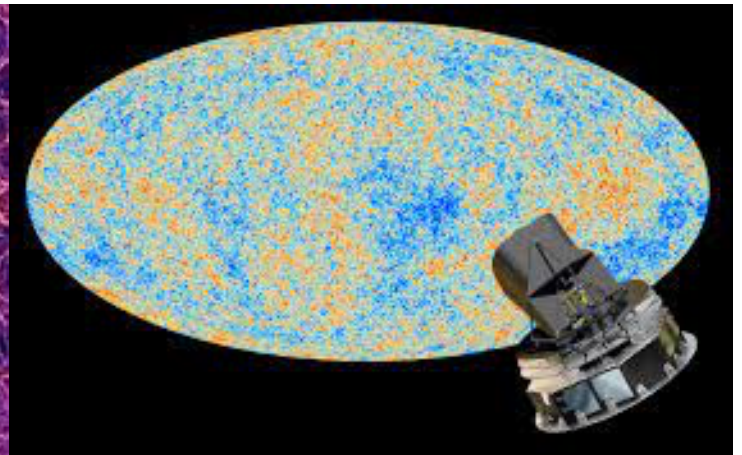
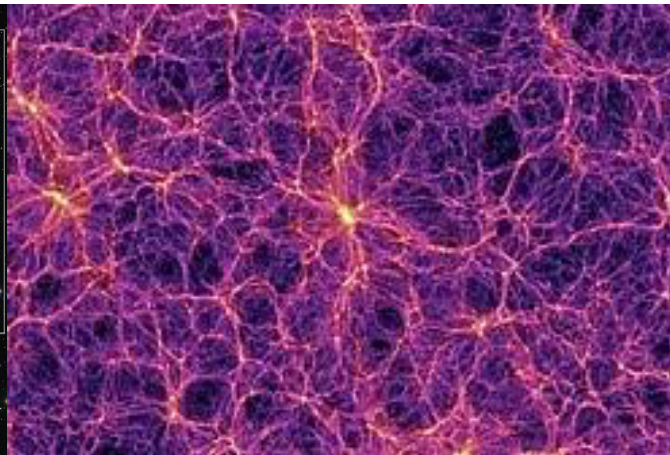
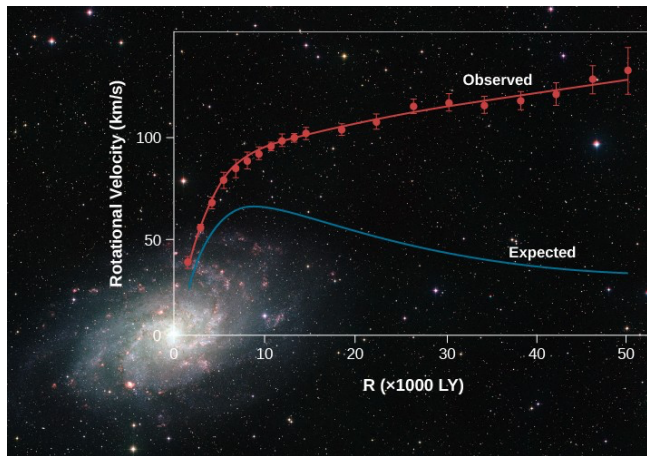
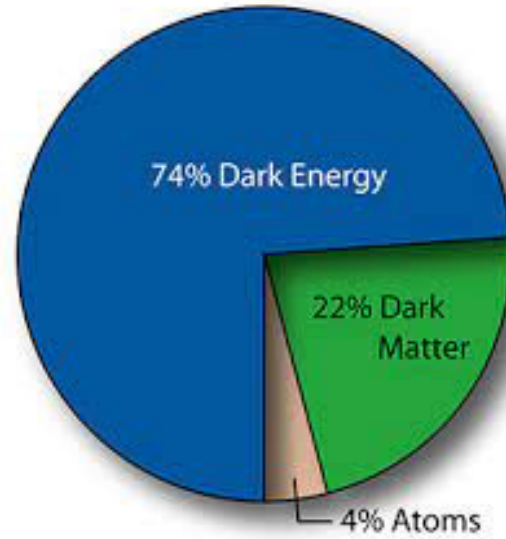




# Era of Gravitational Wave Astronomy

- **Astrophysics:** black holes, neutron stars, multi-messenger astrophysics, ...
- **Fundamental physics and cosmology:** tests of GR, exotic compact objects, the nature of dark matter and dark energy, towards the Big Bang, ...

# Dark Matter



Rotation curves

Large-scale structure

CMB anisotropies

# Dark Matter: what is it?



Might it be some non-exotic matter?  
In principle yes: Primordial Black Holes (PBHs)

# Black Holes

- Astrophysical BHs forms from the gravitational collapse of a star. We know they exist. Their mass must be above the Chandrasekhar limit,

$$M > \mathcal{O}(1) M_{\odot}$$

- PBHs are formed in the early universe. Their mass can be small and they can still be around as long as they do not evaporate within the age of the universe

$$M > 10^{-18} M_{\odot}$$

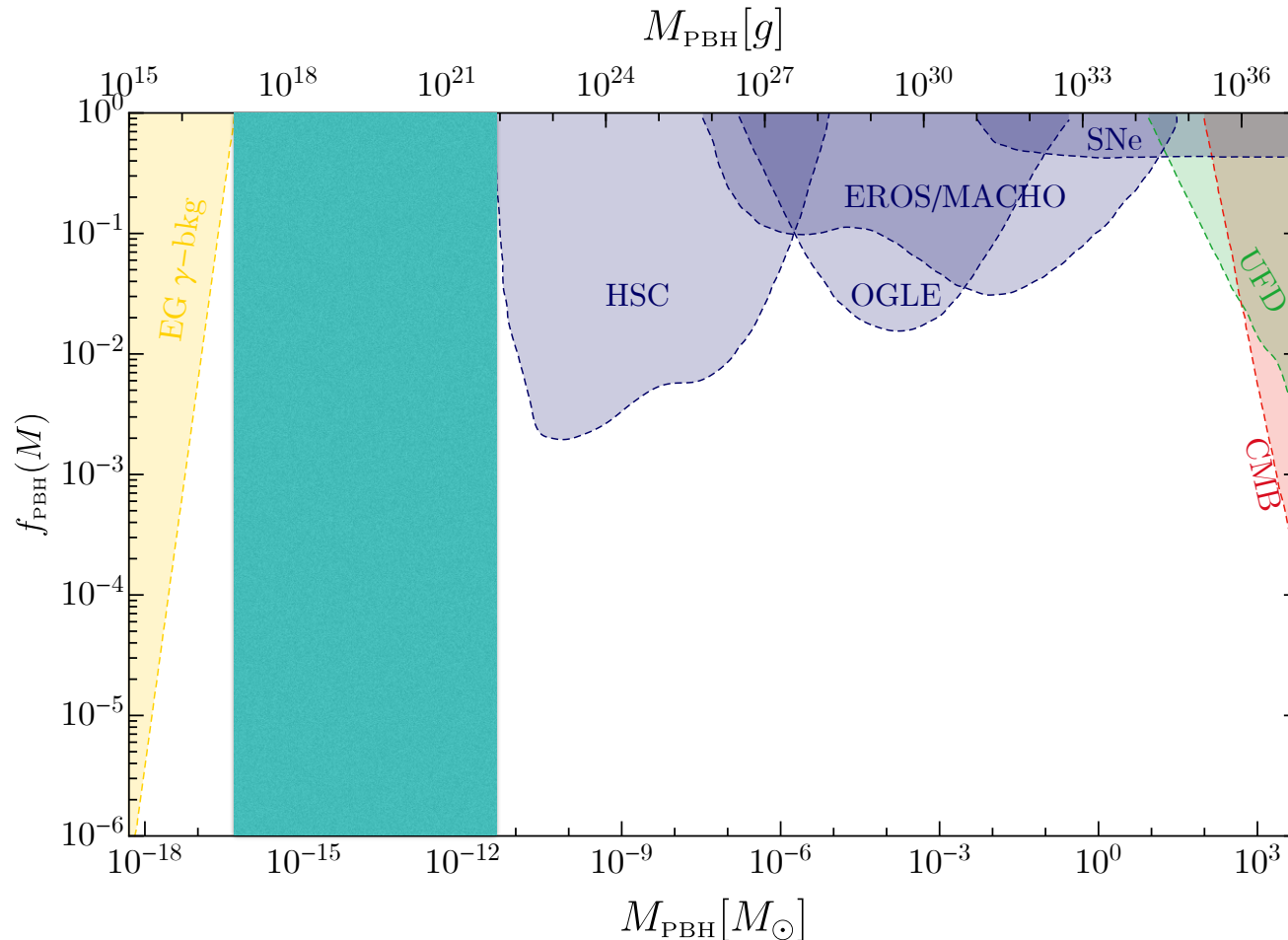
# Key Questions on PBHs in the GW era

- Do PBHs contribute to current and future GW signals?
- What are the smoking-gun evidences for PBHs and how to distinguish them from astrophysical sources?
- Can PBHs account for all the dark matter in the universe?

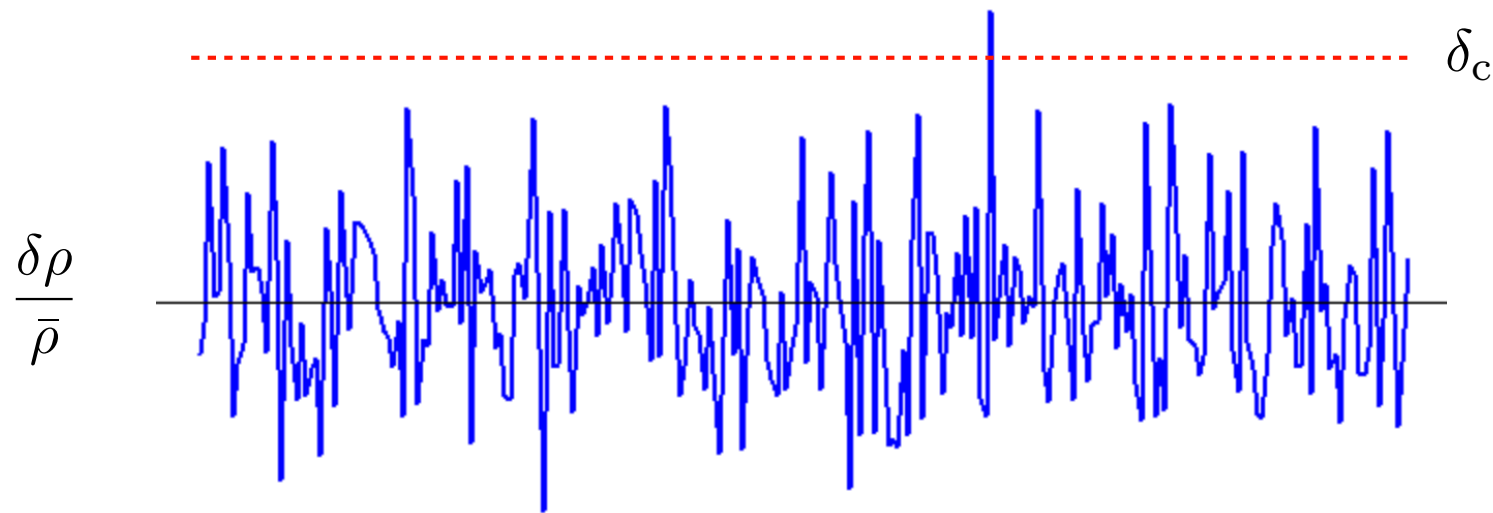
# PBHs

Primordial black holes can compose all the dark matter (or a fraction of it)

$$f_{\text{PBH}} = \Omega_{\text{PBH}} / \Omega_{\text{DM}}$$



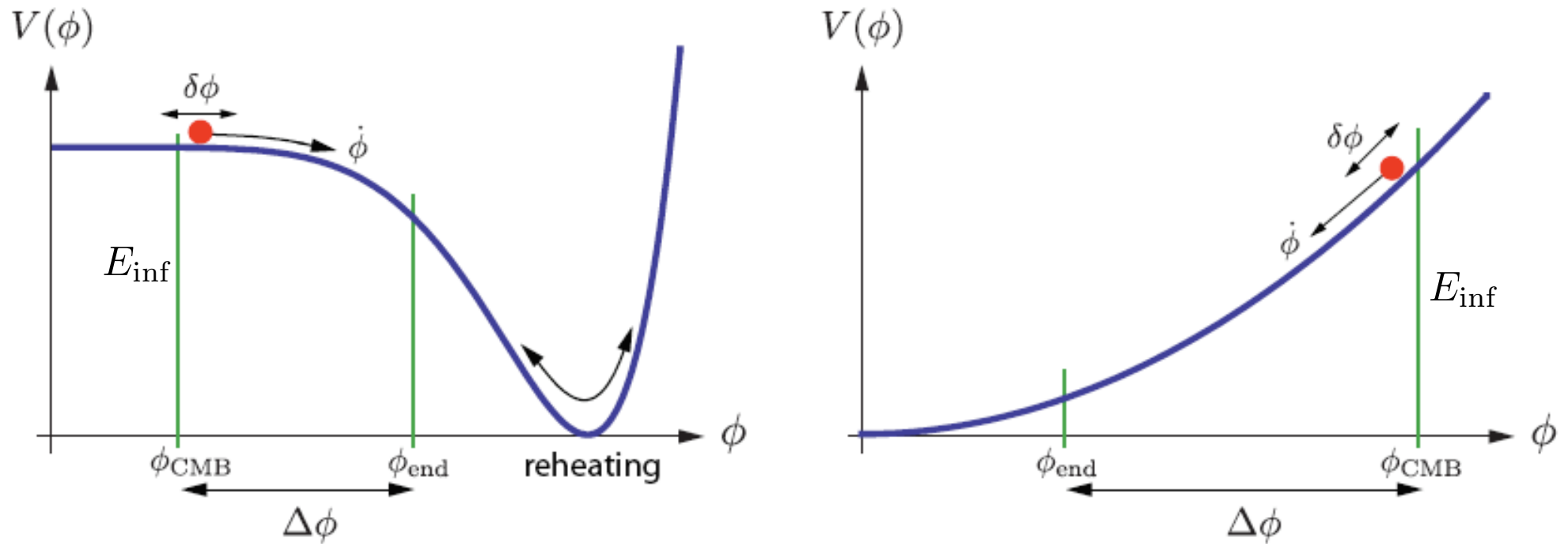
PBHs may be originated from peaks of the density perturbations generated in the early universe



PBHs are rare events, tail of the distribution

One possible mechanism: large fluctuations from inflation

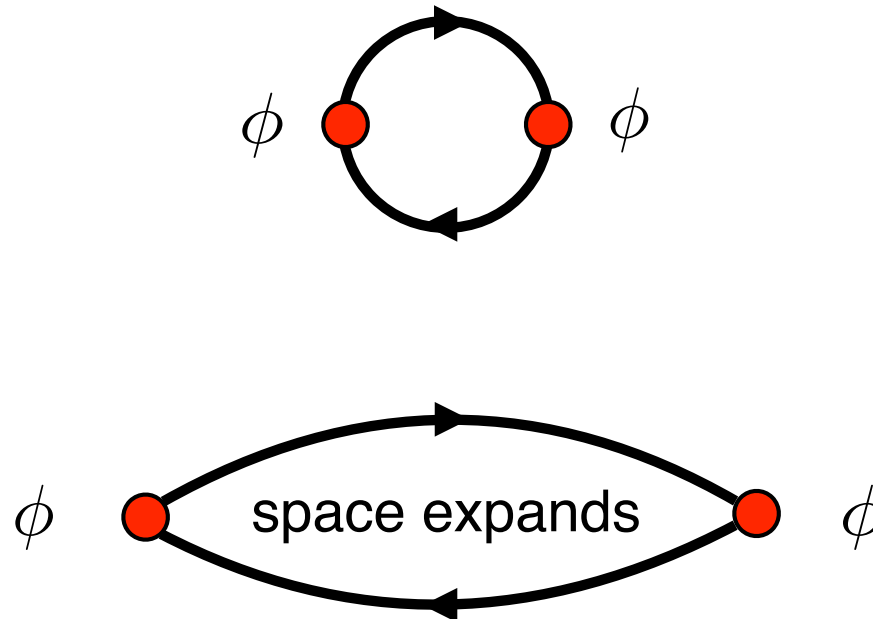
# Inflation



$$\left(\frac{\dot{a}}{a}\right)^2 = H^2 = \frac{\rho}{3M_{\text{Pl}}^2} = \frac{E_{\text{inf}}^4}{3M_{\text{Pl}}^2} \Rightarrow a(t) \sim e^{Ht}$$

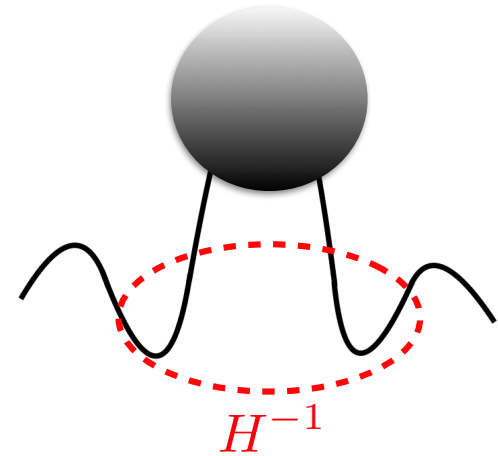
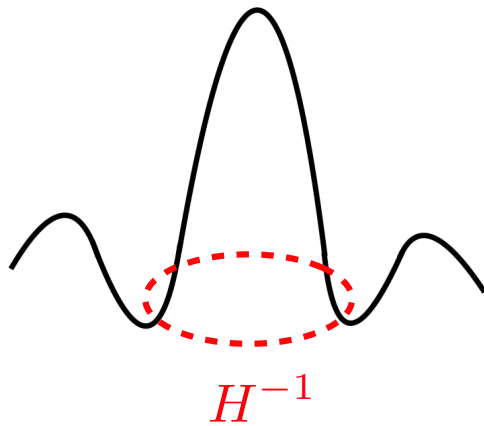
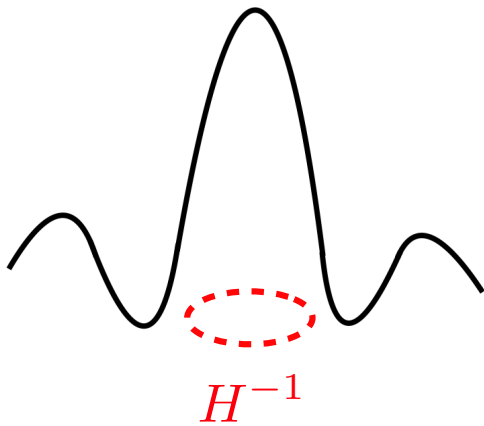


# Heuristic argument for the inflationary perturbations



# Where the PBHs may come from?

$$\frac{\delta\rho}{\rho} \gtrsim \delta_c$$



$$M_{\text{PBH}} \sim M_{\text{H}}$$

# Properties of PBHs at formation

# The PBH mass function at formation

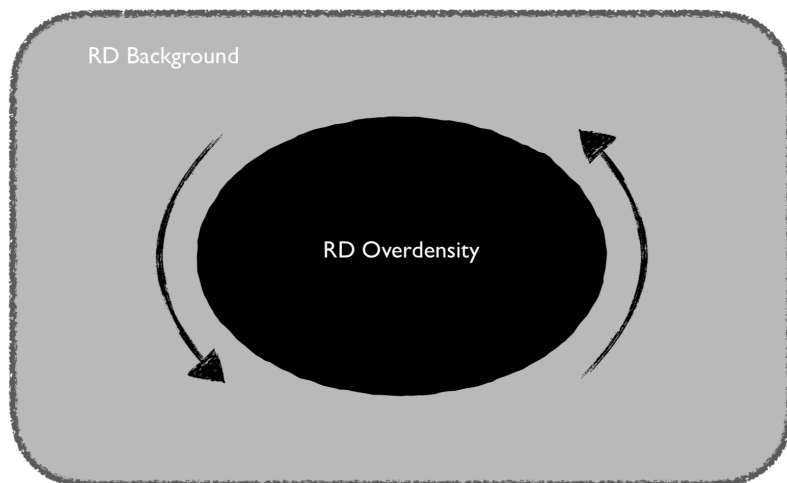
Mass distribution dependent on the overdensity perturbation spectrum and statistical properties

Standard parametrisation

$$\psi(M_{\text{PBH}}) = \frac{1}{\sqrt{2\pi}M_{\text{PBH}}} \exp\left(-\frac{\ln^2(M_{\text{PBH}}/M_c)}{2\sigma^2}\right)$$

# The spin of PBHs at formation is small

- PBHs originate from peaks, that is from *maxima* of the local density contrast.
- The spin results from the action of the torques generated by the gravitational tidal forces upon horizon crossing



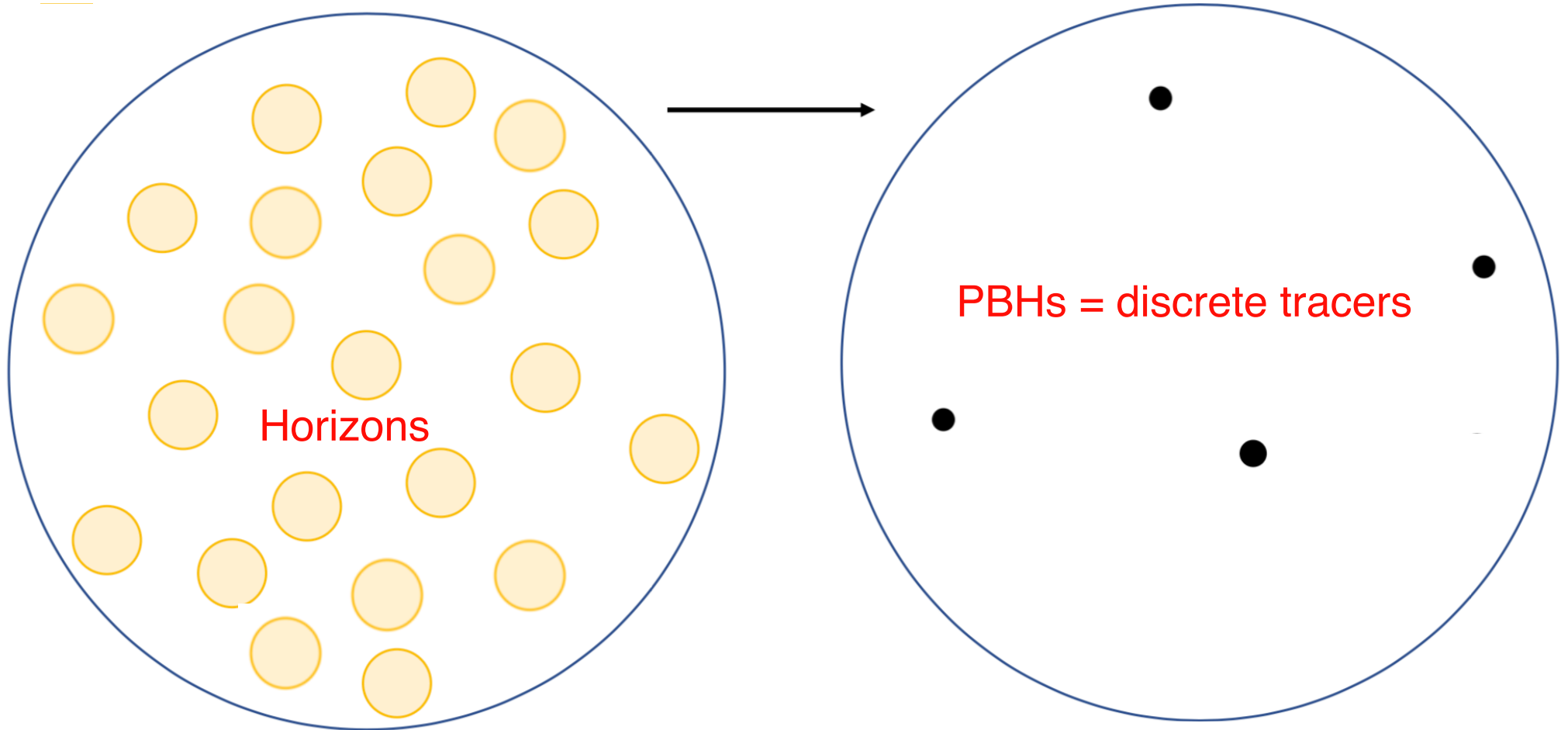
$$\vec{\chi} = \vec{S} / G_N M_{\text{PBH}}^2$$

$$\chi_i \sim 10^{-2} \sqrt{1 - \gamma^2}$$

Shape of the density power spectrum

De Luca et al. (2018)

# PBHs are not clustered at formation

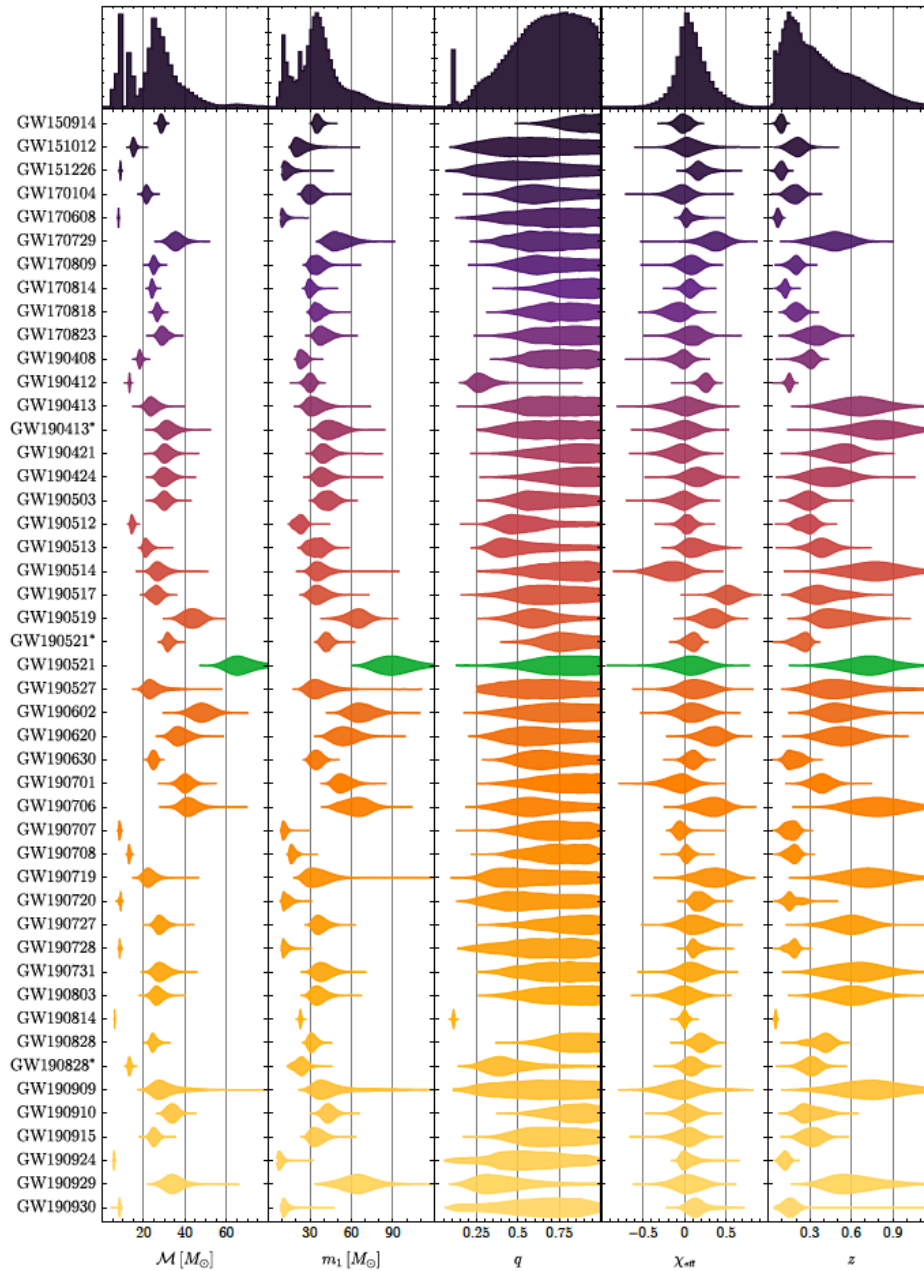


$$\left\langle \frac{\delta\rho_{\text{PBH}}(\vec{x}, z)}{\bar{\rho}_{\text{DM}}} \frac{\delta\rho_{\text{PBH}}(0, z)}{\bar{\rho}_{\text{DM}}} \right\rangle = \frac{f_{\text{PBH}}^2}{n_{\text{PBH}}} \delta_{\text{D}}(\vec{x}) + \xi(x, z)$$

# Key Questions on PBHs in the GW era

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# GWTC-2 catalogue

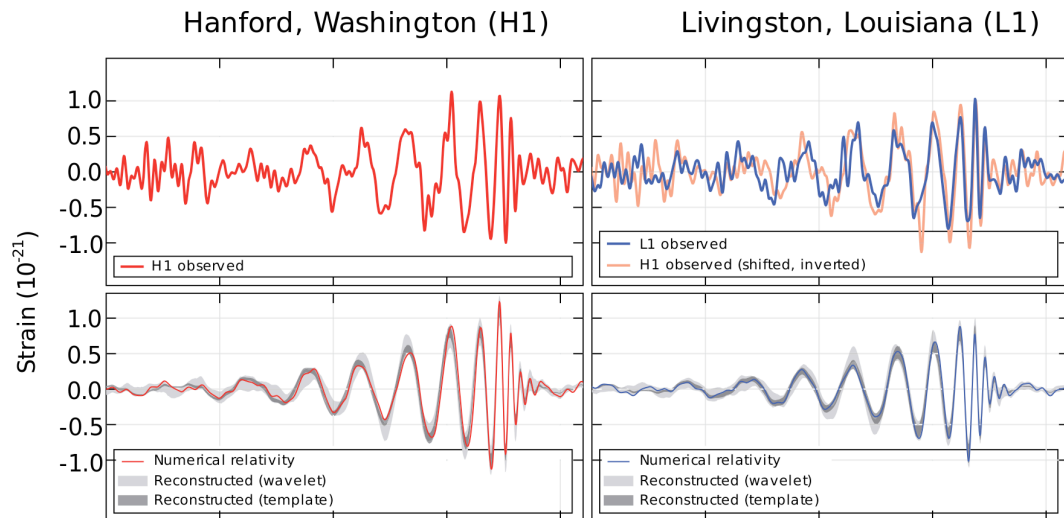


Most events consistent with equal masses

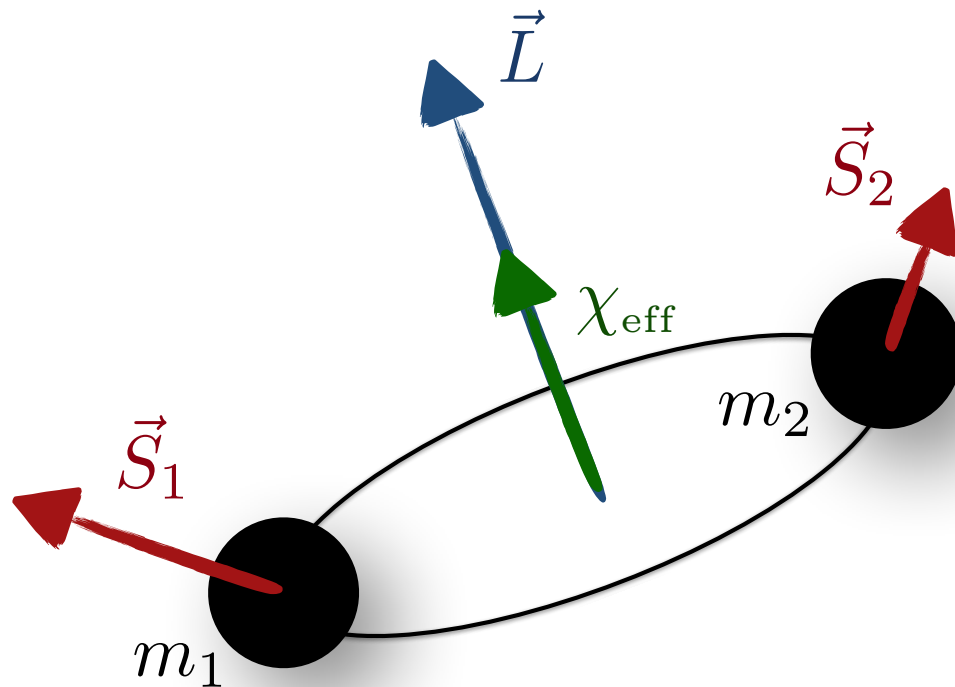
About 10 events with large spins



# BH binary



GW150914, LIGO (2016)



Waveforms dependent on the binary event parameters

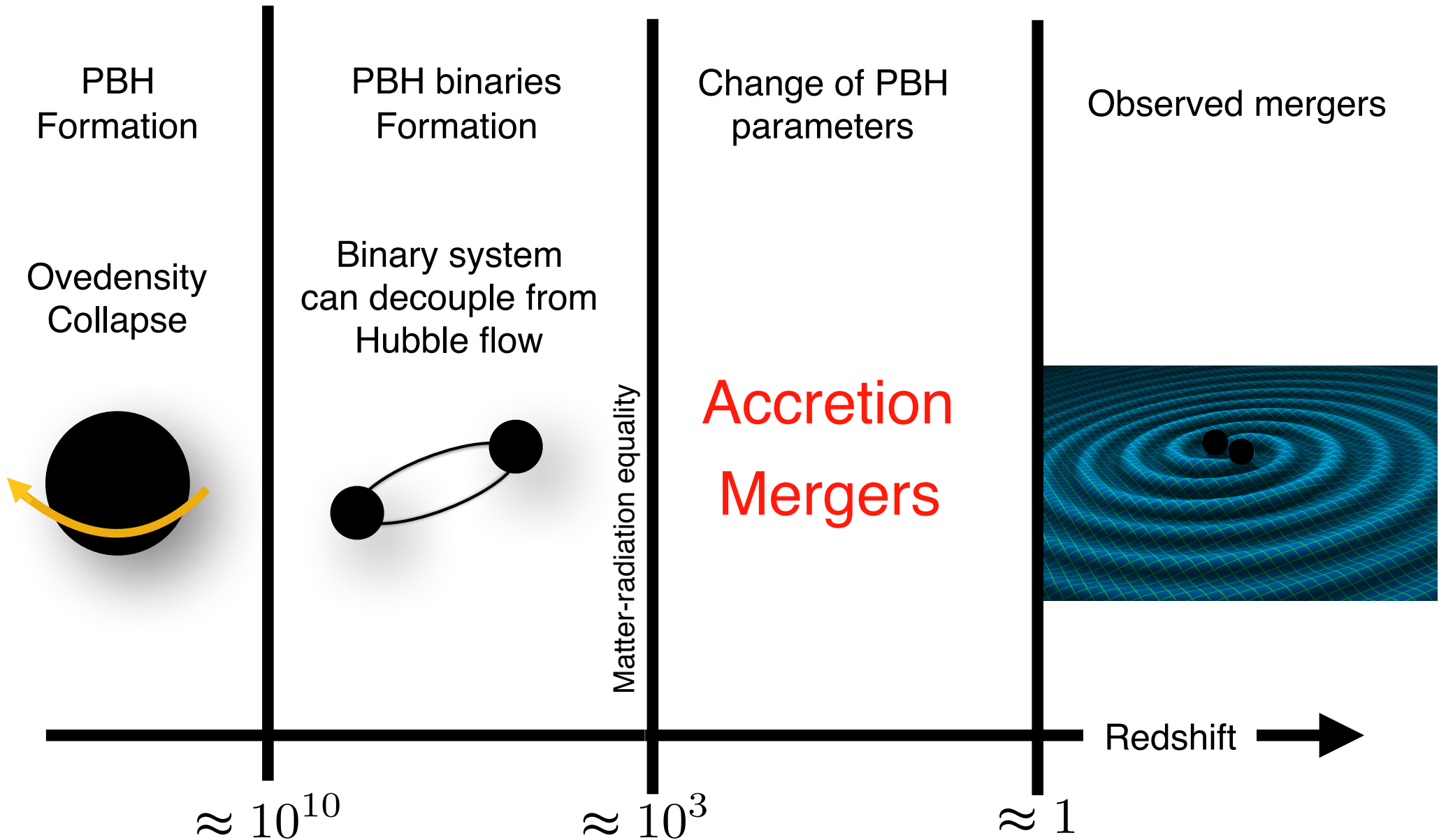
$$\mathcal{M} = \frac{(m_1 m_2)^{3/5}}{(m_1 + m_2)^{1/5}}$$

$$q = m_2 / m_1$$

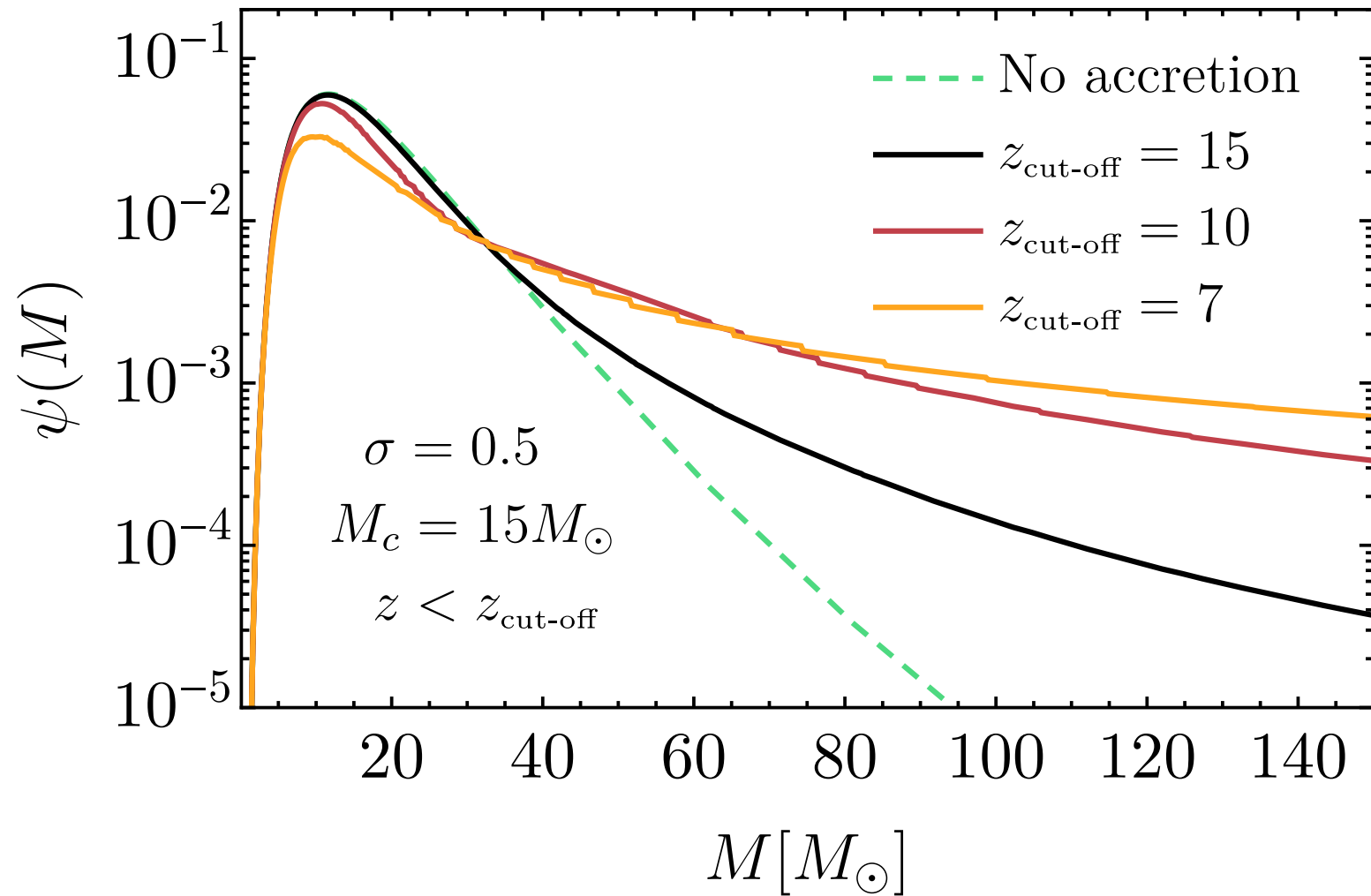
$$\chi_{\text{eff}} = \frac{\vec{S}_1 / m_1 + \vec{S}_2 / m_2}{m_1 + m_2} \cdot \hat{L}$$

...

# PBH evolution



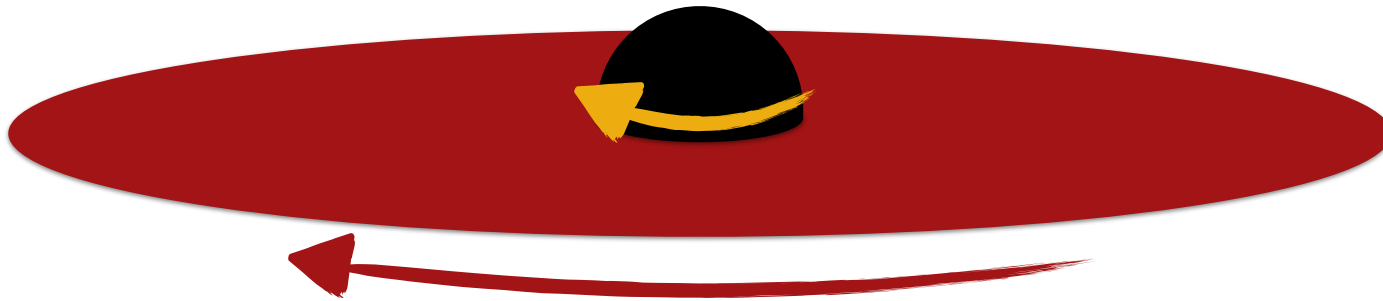
# PBH mass function evolution



Non-linear mass evolution enhances large-mass tails

# PBH spin evolution

If matter angular momentum is large enough, an accreting disk forms, leading to a spin growth

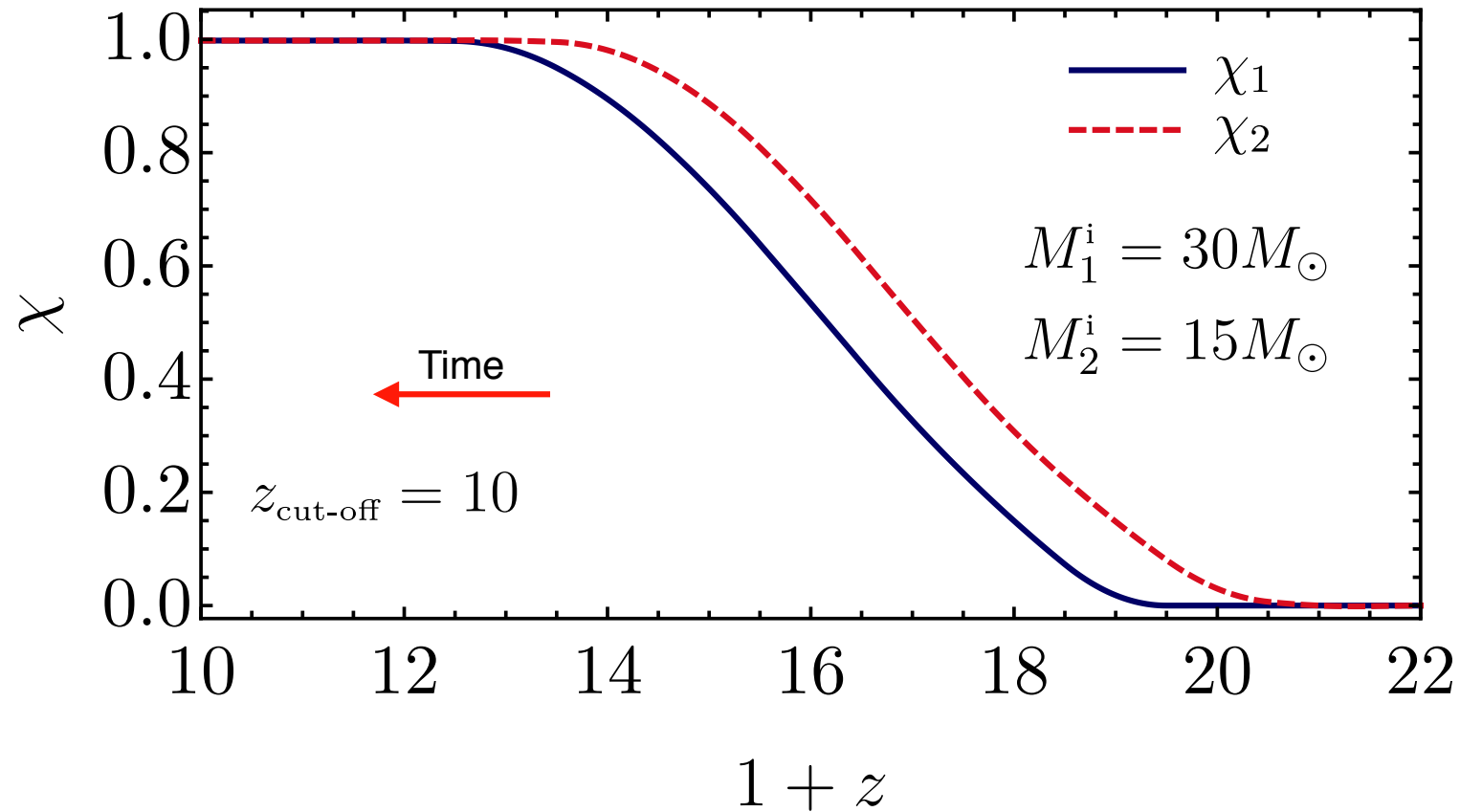


Angular momentum transfer between gas and PBH

$$\dot{\chi} = g(\chi) \frac{\dot{M}}{M}$$

by solving the geodesic model of disk accretion

# Spins pushed towards extremality



- Uncorrelated spin orientation
- Effective spin spreads around zero
- Accretion: low/large mass - low/large spin correlation

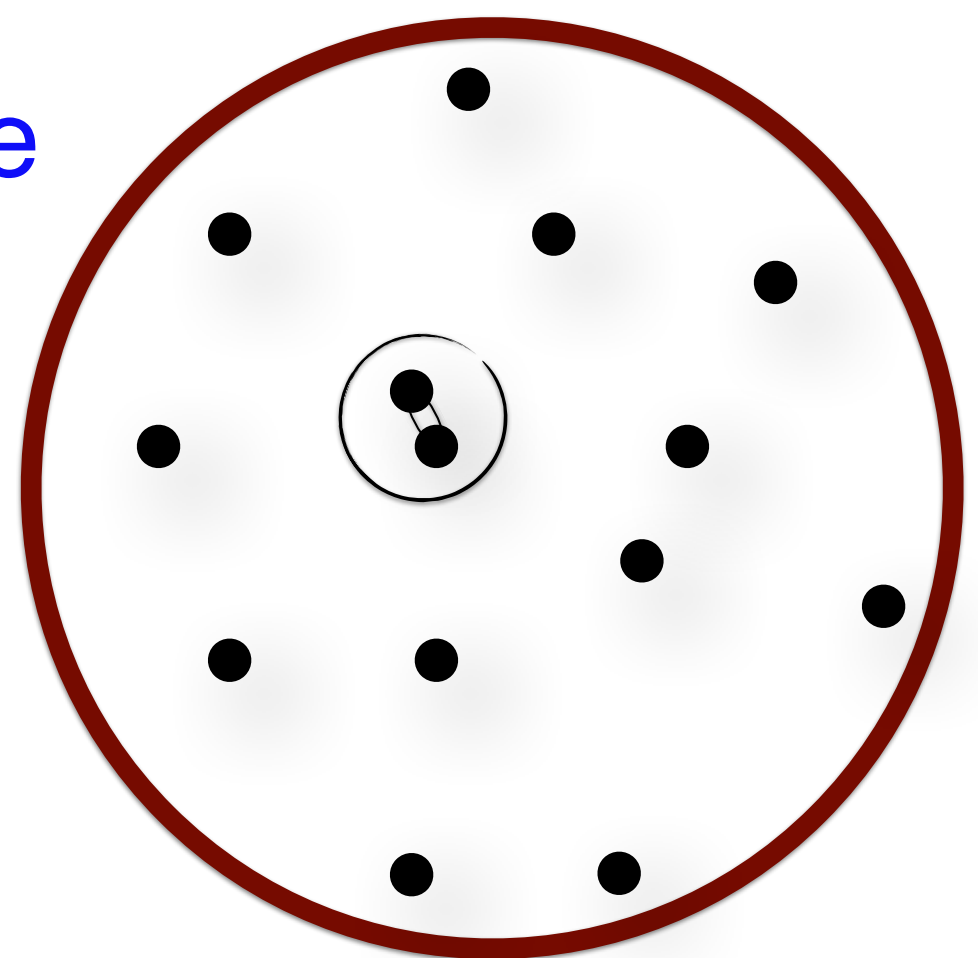
# Merger rate

- Initial spatial Poisson distribution
- Random decoupling of binary systems



Compute probability of decoupling and the binary initial geometry

- Semi-major axis
- Eccentricity



Raidal et al (2018)

$$\frac{dR}{dm_1 dm_2} = \frac{1.6 \times 10^6}{\text{Gpc}^3 \text{ yr}} f_{\text{PBH}}^{\frac{53}{37}} \eta^{-\frac{34}{37}} \left(\frac{t}{t_0}\right)^{-\frac{34}{37}} \left(\frac{M_{\text{tot}}}{M_{\odot}}\right)^{-\frac{32}{37}} S(M_{\text{tot}}, f_{\text{PBH}}) \mathcal{A}_{\text{acc}}(m_j) \psi(m_1) \psi(m_2)$$

- Accretion hardens the binaries
- Larger masses leads to shorter mergers



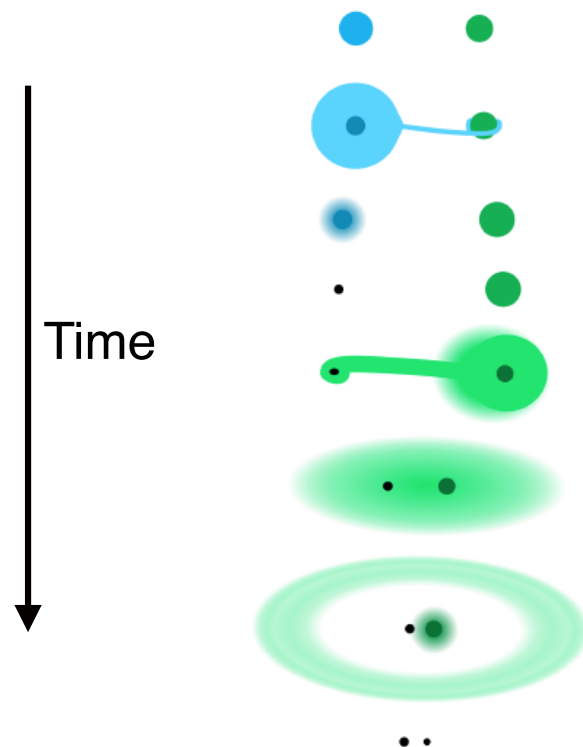
De Luca et al. (2020)

# Astrophysical populations

Zevin et al. (2021)

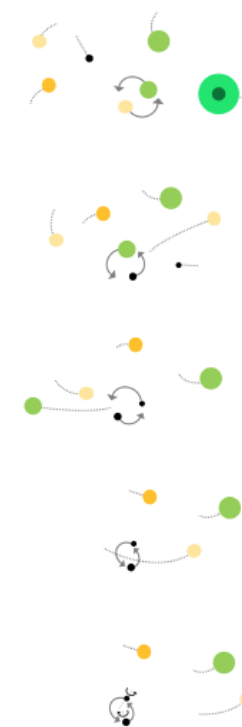
## Isolated formation

Binary formation in galactic fields through a Stable Mass Transfer (SMT) or Common-Envelope (CE) phase



## Dynamical formation

Binary formation in Globular Cluster (GC) or Nuclear Star Clusters (NCS) through encounters and GW captures



For a review, Mandel and Farmer (2018)

# Bayesian evidence in GWTC-2

Event parameters  $\vec{\theta}$

$m_1$        $m_2$        $\chi_{\text{eff}}$        $z$

Population Hyperparameters  $\vec{\lambda}$

$M_c$   $\sigma$   $f_{\text{PBH}}$   $z_{\text{cut-off}}$        $\alpha_{\text{CE}}$   $\chi_b$   $N_{\text{CE}}$   $N_{\text{GC}}$   $N_{\text{NSC}}$

$$p(\vec{\lambda}|\vec{d}) \propto p(\vec{\lambda}) \int d\vec{\theta} p(\vec{d}|\vec{\theta}) p_{\text{pop}}(\vec{\theta}|\vec{\lambda})$$

Posterior  
distribution

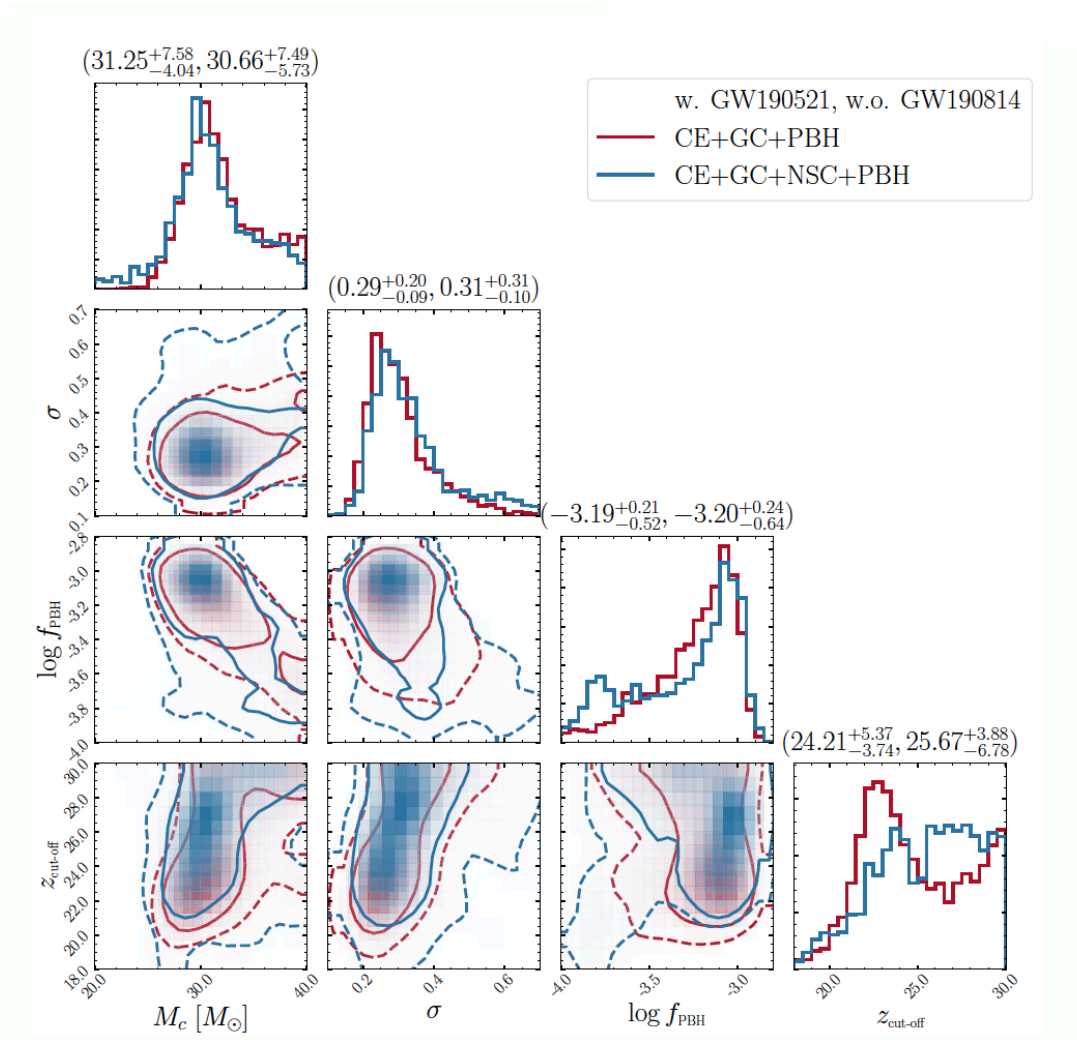
Hyperparameter  
prior

Single event  
likelihood

Population  
likelihood (ML)



# Population posterior distributions



$$M_c \simeq 30 M_\odot$$

$$\sigma \simeq 0.3$$

$$f_{\text{PBH}} \simeq 6 \cdot 10^{-4}$$

$$z_{\text{cut-off}} \simeq 25$$

PBH not the dark matter

Moderate accretion

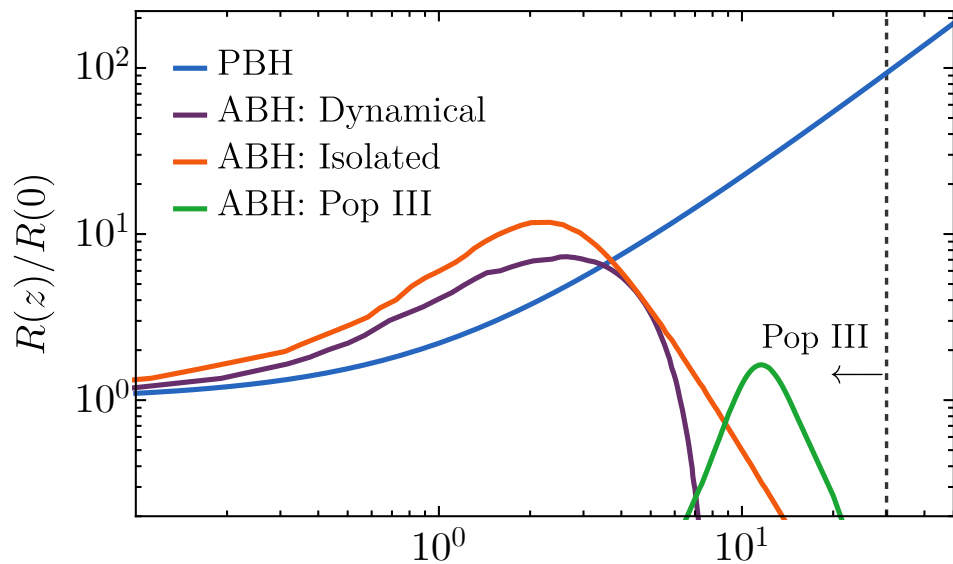
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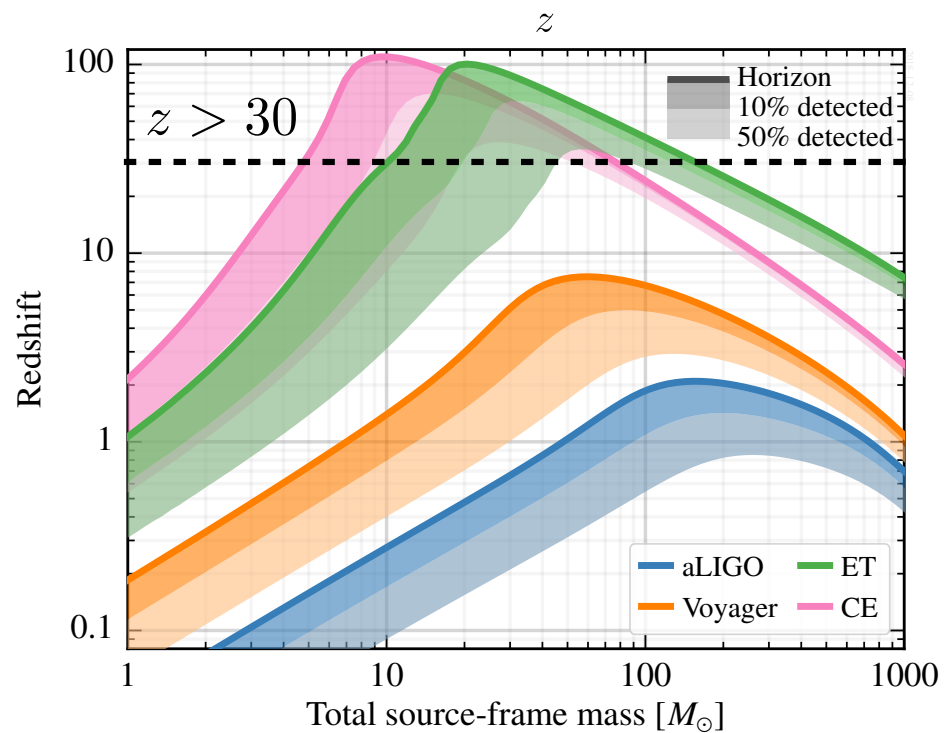
# Smoking-gun evidences for PBHs

- Merger rate time evolution at high redshifts
- Spin of PBHs (zero spins for large masses)
- Stochastic GW background from PBHs at high redshifts

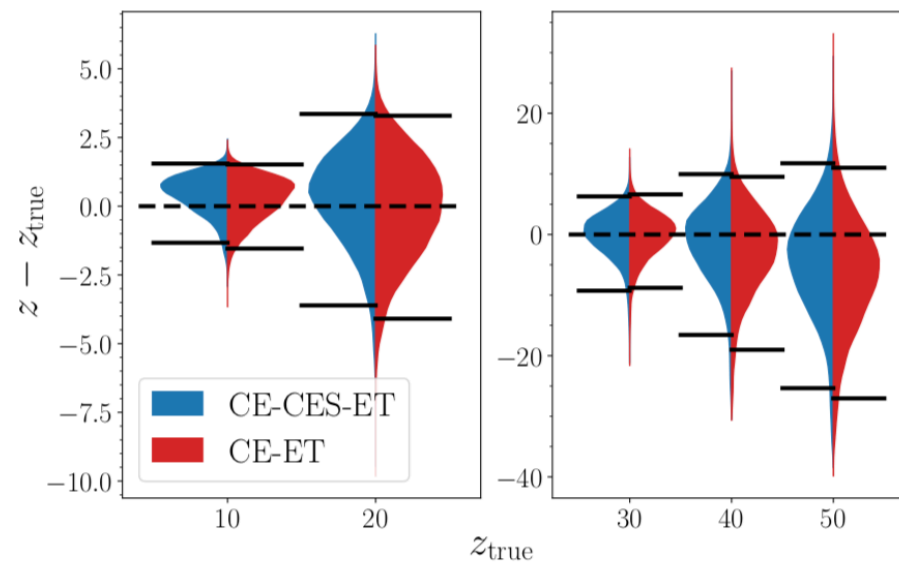
K. Ng et al. (2020)



$$\sim t^{-34/37}(z)$$



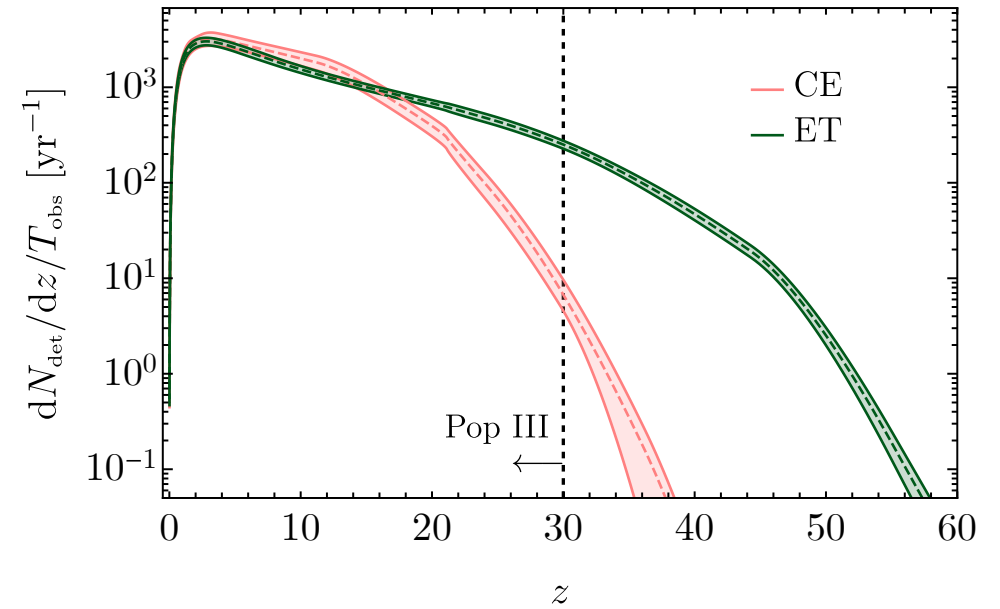
K. Ng et al. (2021)



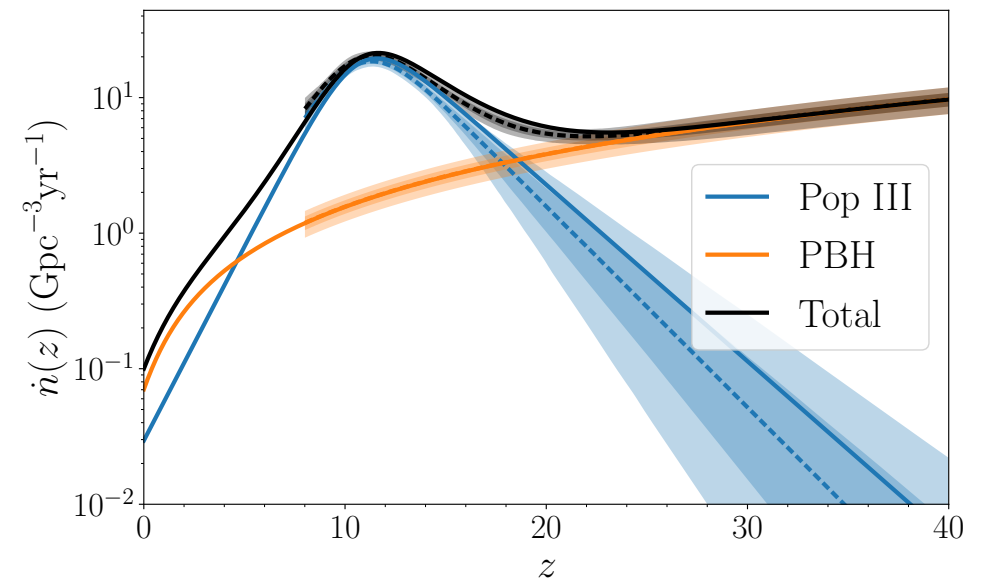
- The PBH population would imply high-redshift observations:

$$N_{\text{det}}^{\text{ET}}(z > 30) = 1315_{-168}^{+305} / \text{yr}$$

No astrophysical contamination



Courtesy of K. Ng



- Reconstruction of the source redshift

# Key Questions on PBHs in the GW era

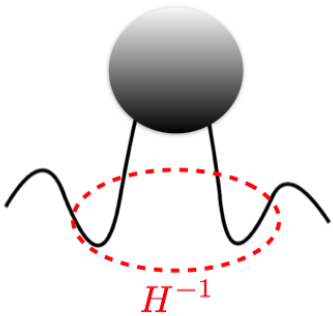
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# PBHs and the stochastic background of GWs

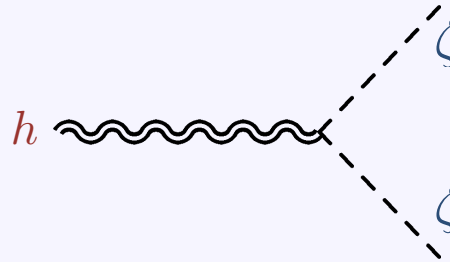
# GWs from PBHs

The same curvature perturbations giving rise to PBHs are unavoidably a source for GWs at *second-order* in perturbation theory

$$\frac{\delta\rho}{\bar{\rho}} \sim \frac{\nabla^2\zeta}{a^2H^2}$$



$$h''_{ij} + 2\mathcal{H}h'_{ij} - \nabla^2 h_{ij} = \mathcal{O}(\partial_i\zeta\partial_j\zeta)$$

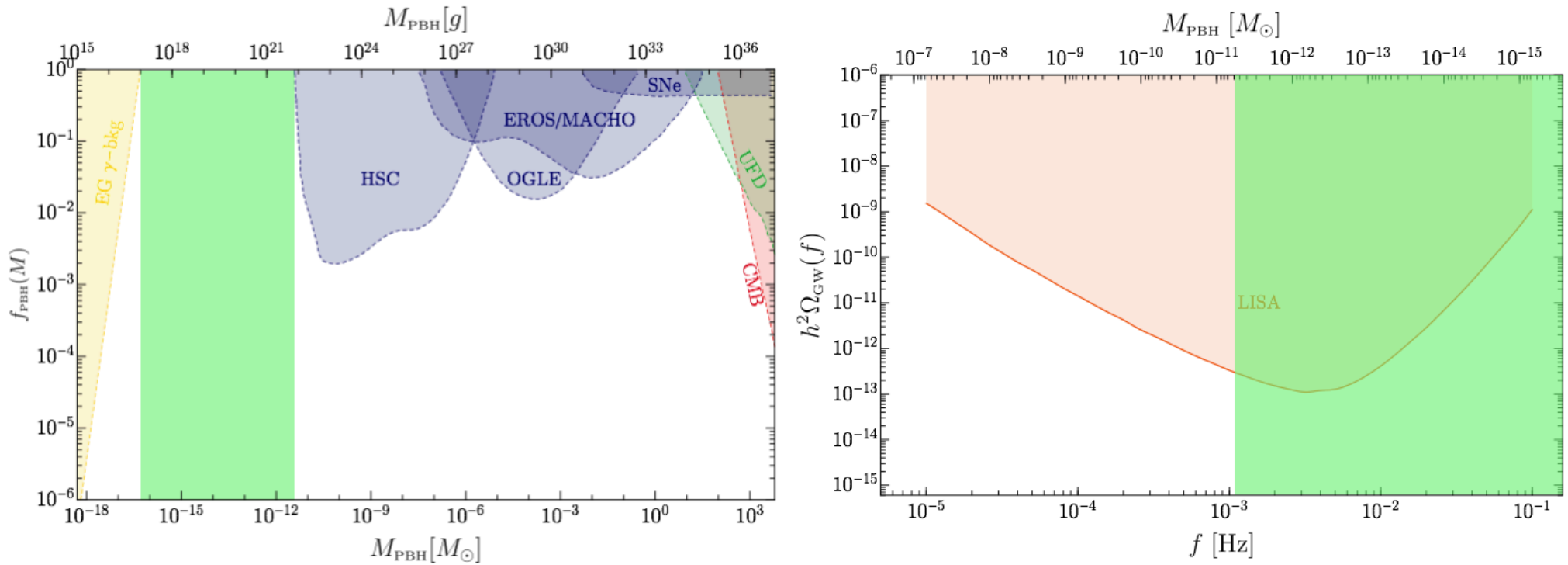


Potentially observable at current and future GW observatories





# The PBH dark matter-LISA serendipity



$$M \simeq 10^{-12} M_{\odot} \left( \frac{f_{\text{LISA}}}{f} \right)^2$$

$$f_{\text{LISA}} = 3.4 \text{ mHz}$$

$$M \approx 10^{-12} M_{\odot}$$

Bartolo et al. PRL (2019)

# Nano-Grav 12.5 year



Millisecond pulsars whose signal sensitive to the stochastic GW background

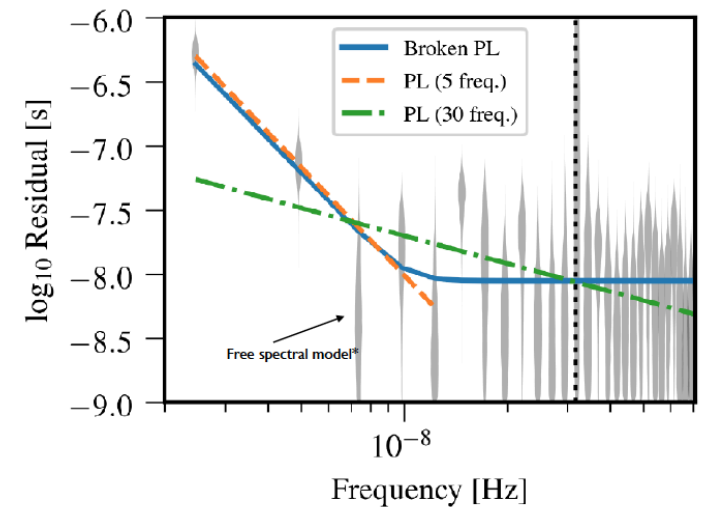
Cross-correlation of  
timing residuals

$$S_{ab} = \Gamma_{ab} \frac{h_c^2}{12\pi^2 f^3}$$

# Nano-Grav 12.5 year

Strong evidence for a stochastic common process across 45 pulsars

$$\Omega(f) = \frac{2\pi^2}{3H_0^2} A^2 f_{\text{yr}}^2 \left( \frac{f}{f_{\text{yr}}} \right)^{5-\gamma}$$



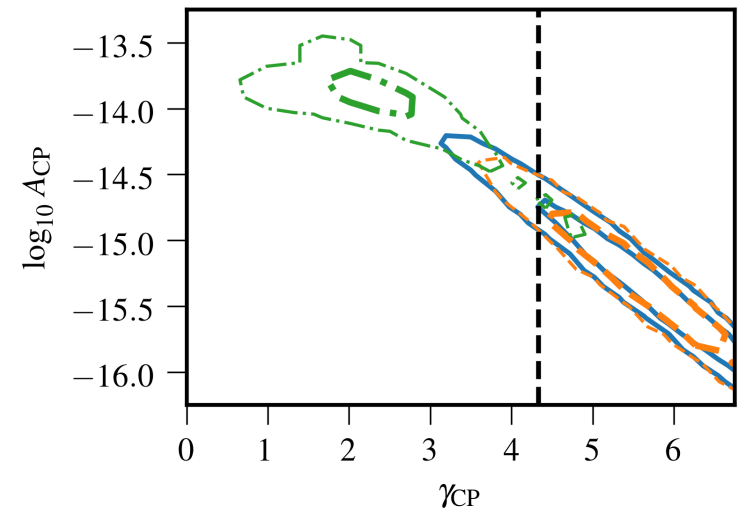
Possible flat spectrum with amplitude

$$\Omega(f) \sim 5 \cdot 10^{-10}$$

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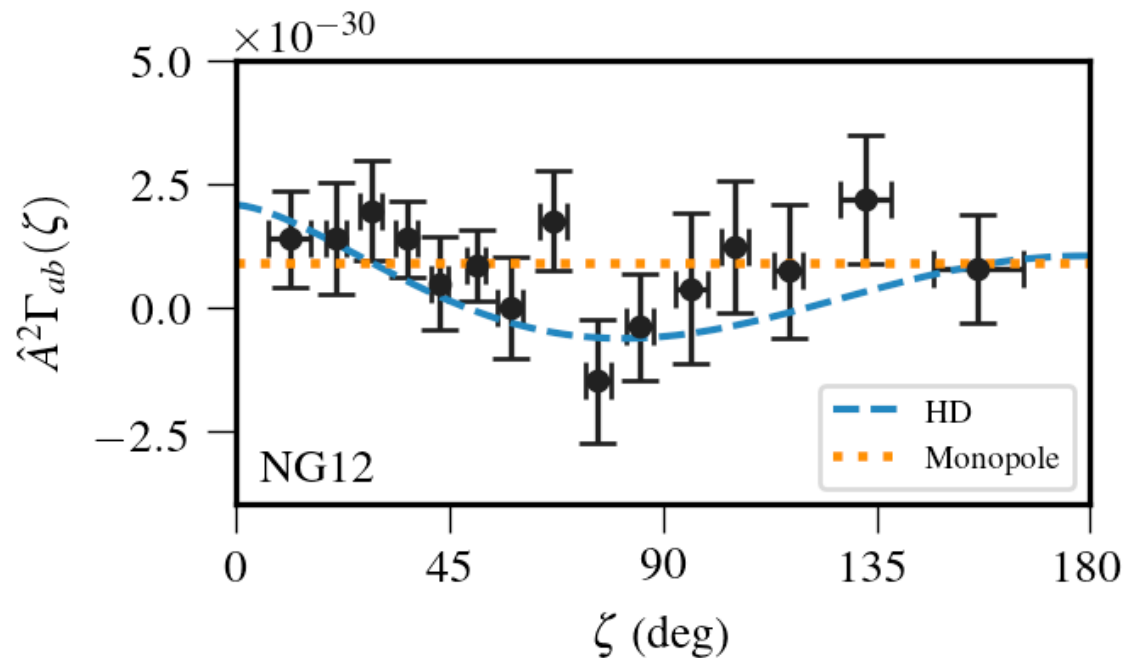


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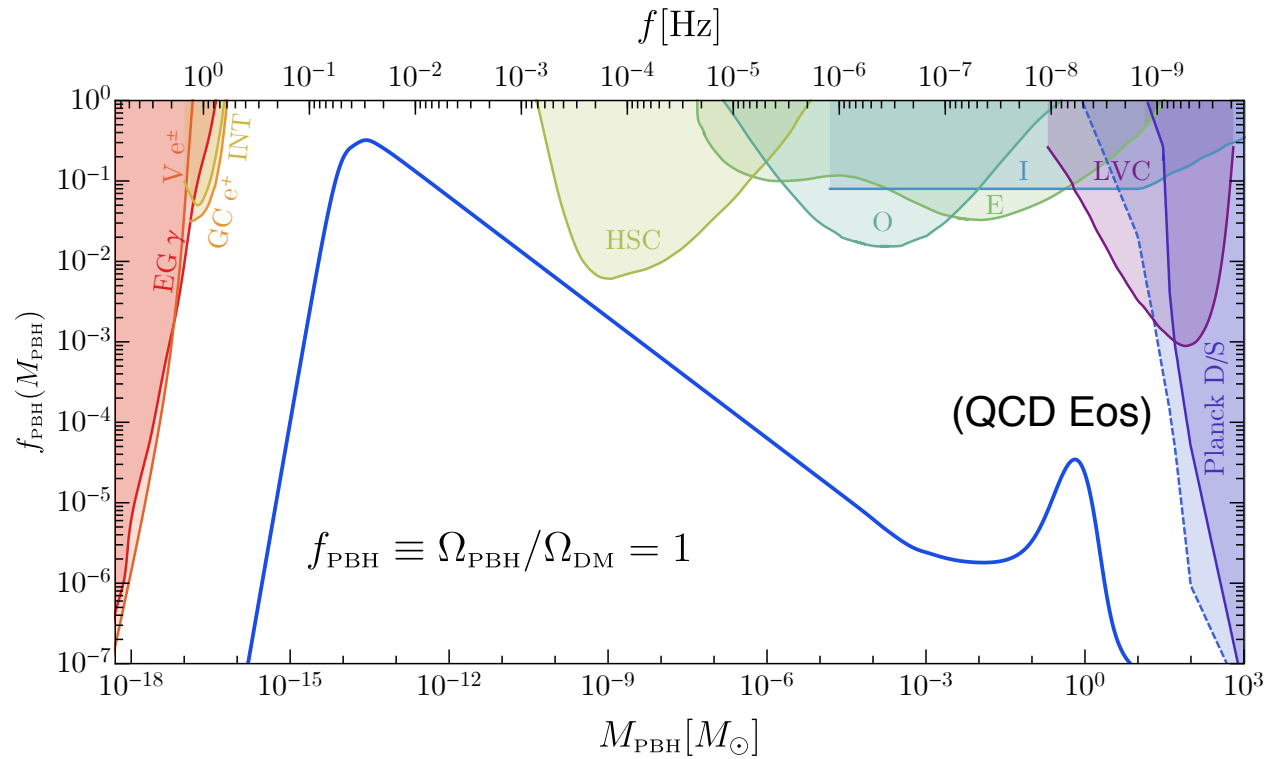
# Nano-Grav 12.5 year

Non-conclusive evidence for quadrupolar Hellings-Downs (HD) correlation pattern (GW footprint)



Need to wait for more data (two years on)

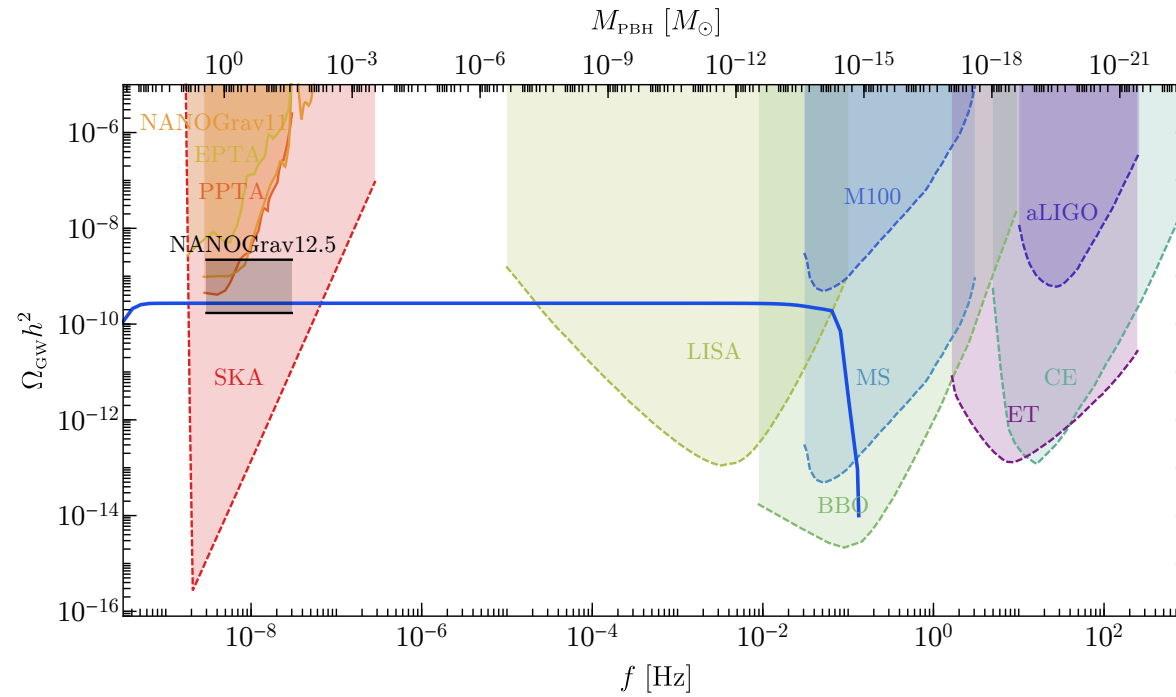
# Can be consistent with a PBH = DM scenario



From flat curvature power spectrum

$$\mathcal{P}_{\zeta}(k) = A_{\zeta} \Theta(k_s - k) \Theta(k - k_l) \quad k_s \gg k_l$$

# Can be consistent with a PBH = DM scenario



May be confirmed by LISA

# Conclusions

- The era of gravitational wave astronomy has begun opening a new window into fundamental physics and cosmology
- PBHs may exist and comprise the totality of the dark matter, future data will tell us