

# Sparse Sampling in Scanning Probe Microscopy

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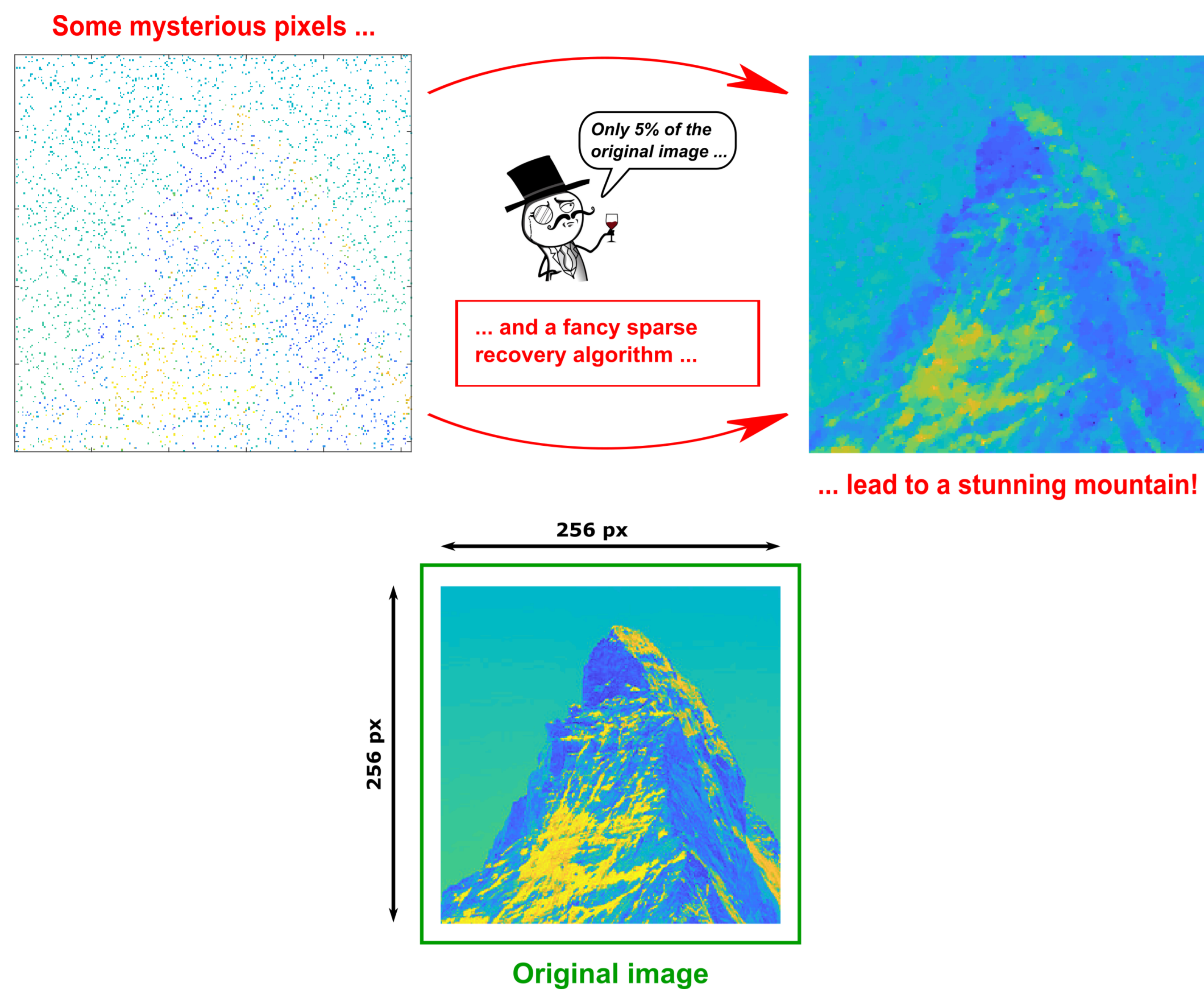


Fig.1: Compressive Sensing (CS) can be used to reconstruct a signal, here a 2D image, by using just a fraction of the total data points. Original image in black-white by Menno Boermans.

## Application in Scanning Tunneling Microscopy (STM)

- Massive decrease in measurement time of Quasi-particle interference (QPI): assuming 5% measurement data is sufficient for the sparse recovery, a 5-day measurement could be done in about 6 hours as shown by the method of Oppliger and Natterer [2]
- The usage of a pre-calculated near optimal open Traveling Salesman path which connects the random measurement locations leads to a further time reduction
- Compressive Sensing in an STM is here achieved by the sparsity of the signal (in this case seen as wave vectors) in Fourier space
- The sparse recovery itself has been handled by the large-scale sparse reconstruction algorithm SPGL1 [3]
- Robust denoising of the sparse signal recovery includes effects like white noise, drift, creep or a change of the STM tip

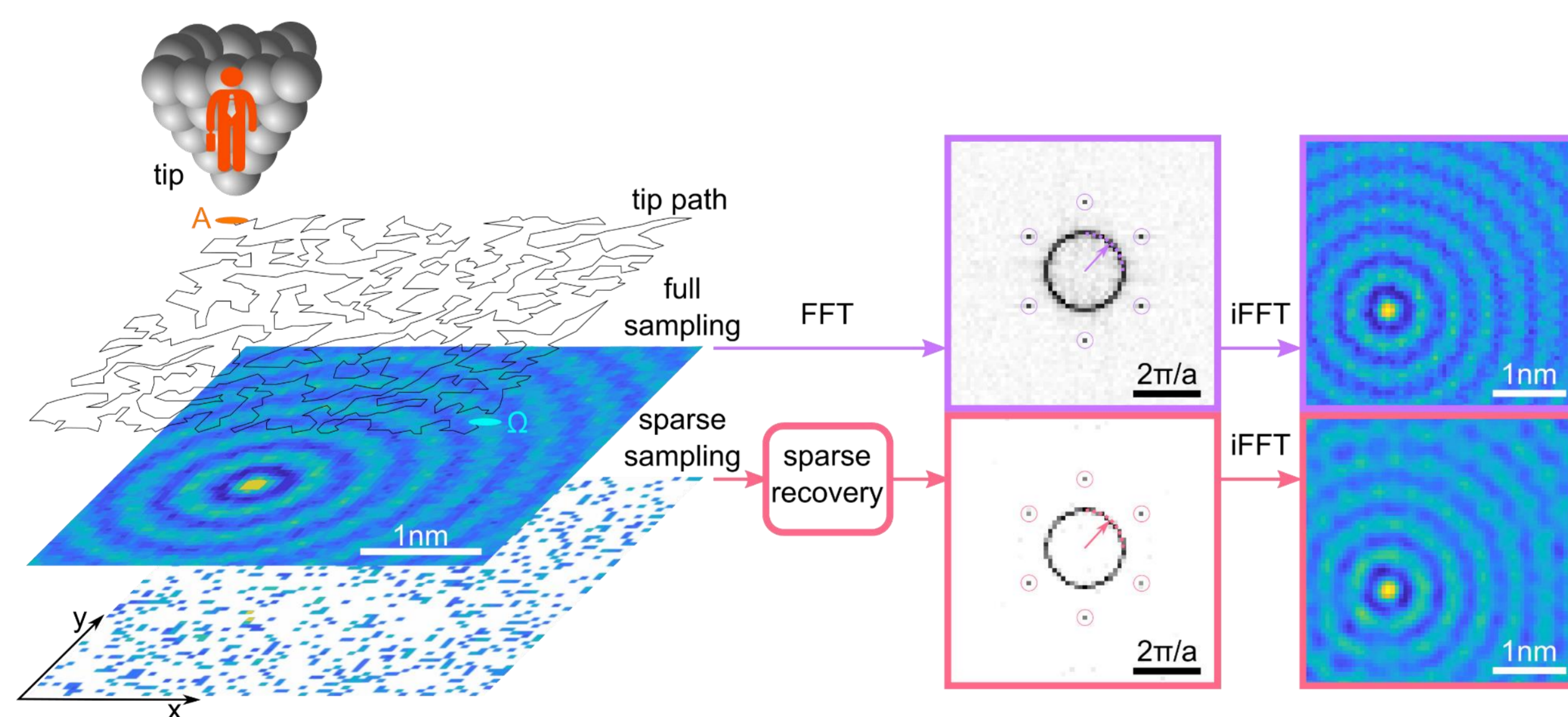


Fig.2: The simulated Cu(111) surface states with underlying FCC atomic structure can be fully recovered by measuring a small fraction of the total Local Density of States (LDOS) (here: 20%) which is represented on a 64x64 pixel grid. The Bragg Peaks are clearly visible and a near optimal tip path is shown for completeness as shown by Oppliger and Natterer [2].

## Compressive Sensing: sparse signal reconstruction

- Representing a signal with  $K \ll N$  sparse (non-zero) coefficients in a vector domain where  $N$  represents the total signal length
- Requires incoherent  $m$  measurements with  $K \ll m < N$
- $\ell_1$  minimization subject to  $\|Ax - b\|_2 < \sigma$
- Random measurement matrix  $A \in \mathbb{R}^{m \times N}$ : sparse recovery for  $m \geq cK \log(N/m)$  [1]
- Achieves sampling rates much lower than stated in the Nyquist theorem

## Random sampling and Informed sampling

- Informed sampling: sampling the regions near the scattering sites with a higher probability than further away using a Lorentzian line shape
- Informed sampling leads to better a reconstruction probability at very low measurement rates as shown by Oppliger and Natterer [2]
- “Inverse Informed sampling” can be used to avoid certain regions in order to minimize and exclude the influence of effects which provoke a STM tip-instability like mask point impurities or step-edges

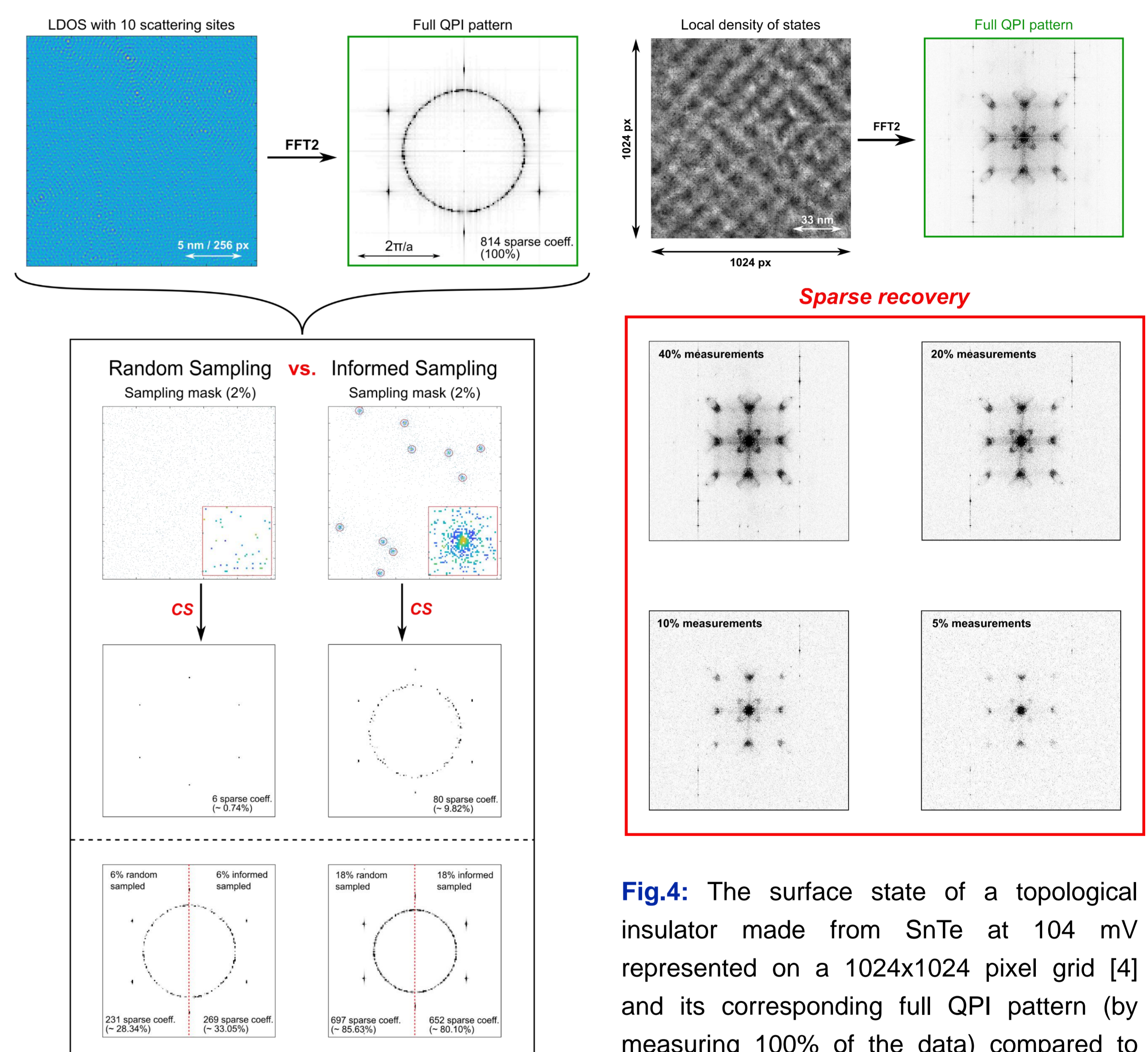


Fig.3: Comparison between two different data sampling approaches on a 1024x1024 pixel grid using the technique of general random sampling and informed sampling by Oppliger and Natterer [2].

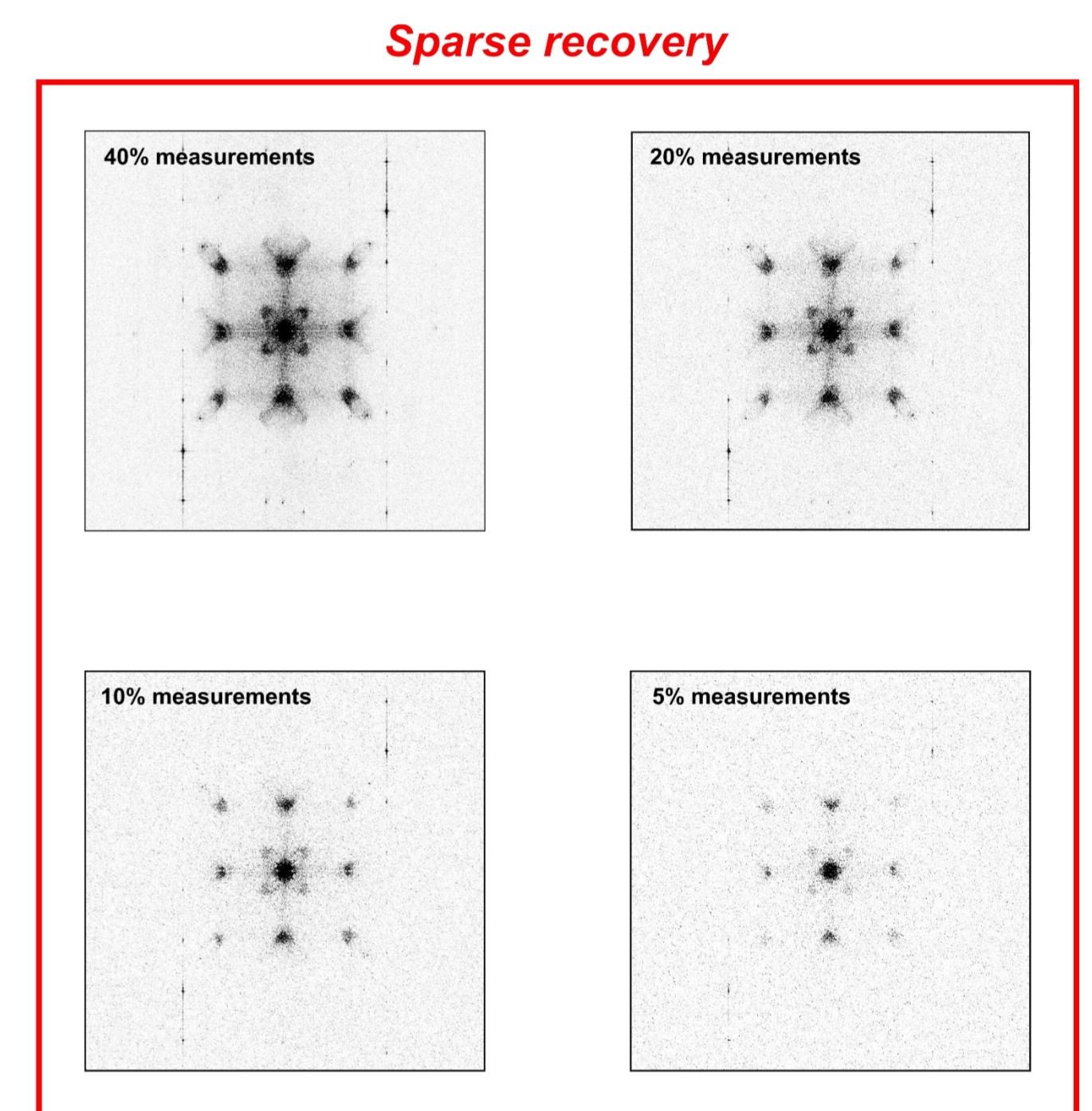


Fig.4: The surface state of a topological insulator made from SnTe at 104 mV represented on a 1024x1024 pixel grid [4] and its corresponding full QPI pattern (by measuring 100% of the data) compared to the sparse recovery of the same by using only a fraction of random measurements.

## Conclusion / Outlook

- Applying compressive sensing in the field of STM quasi-particle interference can be used to massively reduce the time needed to resolve parts of the band structure by using the property of sparse signals and incoherent measurements
- The usage of an open Traveling Salesman path can be utilized for further measurement time reduction
- Time saving factors of 5 – 50 can be reached which means ...
  - ✓ More different LDOS energies can be probed
  - ✓ Higher resolution measurements can be recorded to reveal more details in momentum space
  - ✓ Less wasted measurements since surface areas with bad artifacts can be skipped without losing sparse information

## References

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2. Jens Oppliger, Fabian D. Natterer, <https://arxiv.org/abs/1908.01903> Sparse Sampling for Fast Quasiparticle Interference Mapping
3. Berg, E. van den & Friedlander, M. P. Probing the Pareto frontier for basis pursuit solutions. SIAM J. Sci. Comput. 31, 890–912 (2008)
4. Berg, E. van den & Friedlander, M. P. SPGL1: A solver for large-scale sparse reconstruction. (2007)

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