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Avalanches and Self-Organized Criticality in superconductors

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Abstract. We review the use of superconductors as a playground for the experimental study of front roughening and avalanches. Using the magneto-optical technique, the spatial distribution of the vortex density in the sample is monitored as a function of time. The roughness and growth exponents corresponding to the vortex 'landscape' are determined and compared to the exponents that characterize the avalanches in the framework of Self-Organized Criticality. For those situations where a thermo-magnetic instability arises, an analytical non-linear and non-local model is discussed, which is found to be consistent to great detail with the experimental results. On anisotropic substrates, the anisotropy regularizes the avalanches.

PACS. 05.65.+b Self-organized systems – 74.25.Fy Transport properties (electric and thermal conductivity, thermoelectric effects, etc.) – 74.25.Qt Vortex lattices, flux pinning, flux creep

1 Introduction

In this review we discuss the penetration of magnetic flux in thin type-II superconducting slabs subject to an increasing magnetic field oriented normal to the slab. In type-II superconductors, magnetic flux penetrates in the form of vortices, which behave as repulsive particles moving in the plane of the slab. The vortices can only enter (or leave) at the edge of the slab. Their motion, however, is hindered by pinning (due to disorder in the sample), which leads to a gradient in vortex density, somewhat similar to the slope of a sand pile, see Figure 1. This analogy was already noted a long time ago by de Gennes [1]. In the present paper, however, this analogy is extended to the surface roughness of the pile and to the avalanches occurring on its surface.

The growth and roughness exponents of this rough surface are determined. Also the properties of the avalanches occurring on the surface are measured and it will be shown that they have a power law size distribution function and obey "finite size scaling". In addition, we find that exponent scaling relations of Paczuski, Maslov and Bak [3] are obeyed to reasonable accuracy. All these observations together make a strong case for the vortex pile system to belong to the class of Self-Organized Criticality (SOC) [4] systems. To investigate the role of disorder, we also study thin films of Niobium, which are slowly subjected to a hydrogen atmosphere. Hydrogen is absorbed by Niobium and locally destroys the superconductivity, thus increasing the amount of disorder. We find that a minimum amount

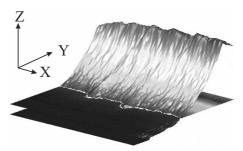


Fig. 1. Vortex density (along the vertical axis) in a thin $YBa_2Cu_3O_7$ - superconductor as a function of position. Note the similarity with a pile of sand, including the surface roughness. (After Ref. [2]).

of disorder is necessary for SOC to occur. In other superconductors, the properties of huge thermo-magnetic avalanches are studied. These are described very nicely by a recently developed model [5] and can be simulated to high degree of correspondence.

2 Experimental technique

Images of the 2-dimensional (i.e. in the plane of the thin film sample) density of vortices are made using a magneto-optical technique [6]. On top of the sample and in immediate contact with it, a mirror layer and (directly above this) a 4 μ m thick Yttrium Iron Garnet film with large Faraday effect are placed. The sample assembly is placed on a custom built insert with X,Y,Z,θ,ϕ,η -controls for proper

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alignment, which fits in a commercial 1 T or 7 T Oxford instruments cryostat. The insert is equipped with lenses, a semi-transparent mirror and polarizers, making it effectively a polarization microscope. Where ever there is a local magnetic field in the sample, the incoming polarization vector is turned, thereby increasing the detected intensity. Thus the intensity in the camera yields directly the magnetic field and hence vortex density for each position in the sample. Using a modulation scheme [7,8] this intensity map becomes linear in field and much more sensitive.

3 Roughening of the vortex landscape

As mentioned above, the vortex density map of the sample is generally not smooth (see Fig. 1) but displays some roughness. For example, one may define a flux front as the 'foot' of the vortex 'mountain' as indicated by the white line in Figure 1. The exact position is determined by the cross section between the vortex 'mountain' and a horizontal plane at a level of 3 times the noise in the vortex-free region. Generally [9], for such fronts, the rms width w growths initially with time as $w \sim t^{\beta}$. After some time t_{\times} , however, lateral correlations extend over the whole area of the pile and w does not increase anymore: it is limited to a pile-size dependent value $w \sim L^{\alpha}$ where L is the linear size of the pile and α is the roughness exponent. At t_{\times} , both relations hold, from which

$$t_{\times} \sim L^{\alpha/\beta}$$
. (1)

To find α and β to higher accuracy, we use the correlation function [9]

$$C(x,t) = \left\{ \left\langle \left[\delta h\left(\xi,\tau\right) - \delta h\left(\xi + x, \tau + t\right) \right]^2 \right\rangle_{\xi,\tau} \right\}^{1/2} \tag{2}$$

where $\delta h = h - \overline{h}$ and h(x,t) is the height of the interface at position x and time t, where $\overline{h} = \langle h \rangle_{x \in [0,L]}$ and $\langle \cdot \rangle_{\eta}$ denotes an average over η . From this correlation function, one determines the growth exponent β and roughness exponent α using [9] $C(x,0) \sim x^{\alpha}$ and $C(0,t) \sim t^{\beta}$.

For YBa₂Cu₃O₇-samples of 80 nm thick that were deposited on NdGaO₃ substrates, we recently found for the roughness of the 2-dimensional surface of the pile [10,11]:

$$\alpha = 0.8 (1)$$
 $\beta = 0.6 (1)$. (3)

The values of these exponents are consistent with the Q-EW (quenched-disorder Edwards-Wilkinson) model. Apparently the vortices move on a substrate with randomly distributed quenched pinning sites, as is indeed expected for a high- T_c superconductor. Similar values for α and β for the flux fronts in YBa₂Cu₃O₇ thin films were previously obtained by Surdeanu et al. [12] and for α in Niobium thin films by Vlasko-Vlasov et al. [13]. In general, the values of the exponents for the 2-dimensional surface are lower than for the fronts. The reason for obtaining similar values for α and β for the 1-d and 2-d case is the higher number of defects in the sample used for 2-d case.

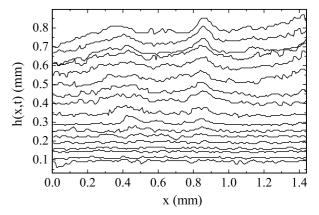


Fig. 2. Flux fronts in a YBa₂Cu₃O₇-sample of 80 nm thick that was deposited on a NdGaO₃ substrate for different applied external fields. The first front (bottom) is recorded at 1 mT and subsequent fronts are at 1 mT intervals. (After Ref. [12].)

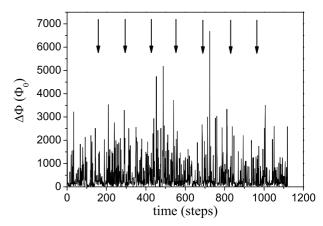


Fig. 3. Size (expressed in units of the flux quantum Φ_0) of vortex avalanches in a YBa₂Cu₃O₇-sample of 80 nm thick that was deposited on a NdGaO₃ substrate as a function of time for 8 different experiments (separated by the vertical arrows). (After Ref. [10].)

4 Small vortex avalanches

From a closer inspection of the growth process of the vortex 'mountain', shown in Figure 1, it is clear that the formation of this 'mountain' and hence the flux penetration process is not continuous, but takes place in the form of avalanches. In our experimental procedure, the external field is increased in steps of $50\,\mu\mathrm{T}$ and after each such increase an image of the vortex density in the sample is obtained. The size of each avalanche is calculated from

$$s = \frac{1}{2} \int |\Delta B_z(\mathbf{r})| d\mathbf{r} \tag{4}$$

where $\Delta B_z(\mathbf{r})$ is the difference between two consecutive images minus the 50 μ T increase of the external field. The resulting time series of avalanches is shown in Figure 3.

The size distribution of the avalanches is shown for differently sized windows of observation in Figure 4 as a log-log plot. From the straight line behavior in the limit of large systems (viz. large windows of observation), it is

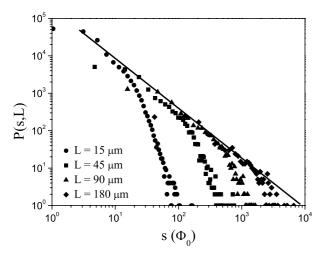


Fig. 4. Number P(s, L) of avalanches of size s in a YBa₂Cu₃O₇-sample of 80 nm thick that was deposited on a NdGaO₃ substrate occurring in a window of observation of linear dimension L. The straight line indicates the power law in the limit for large L. (After Ref. [10].)

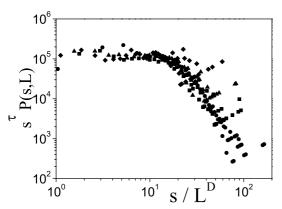


Fig. 5. Finite size scaling plot of the data in Figure 4. (After Ref. [10].)

clear that the avalanche sizes are power law distributed with $P(s) \sim s^{-\tau}$, just like earthquakes and many more natural phenomena [4].

The ubiquitous occurrence of power laws in many natural phenomena lead Bak to the idea of Self-Organized Criticality [4], a model where slowly driven systems organize themselves automatically to be in a metastable state where small disturbances can lead to a response of arbitrary size. The archetypal example is the sand pile with its critical slope (metastability) and the avalanches of sand sliding down its surface. Within the SOC framework (and otherwise too), the volume V of an avalanche with fractal dimension D and linear extension ℓ is given by $V = \ell^D$. Since the linear size of the avalanche is limited by the system size L (by necessity $\ell \lesssim L$), one expects that the finite size effect-induced deviations from the straight line in Figure 4 scale as L^D . Indeed the finite size scaling (FSS) plot of Figure 5 shows a nice data collapse and yields [10] $\tau = 1.29(2)$ and D = 1.89(3).

Apart from the FSS and the power law behavior there is another check one can make for SOC. This is based on

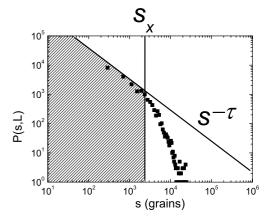


Fig. 6. The scaling relation $D(2-\tau) = \frac{\alpha}{\beta}$ is derived by comparing the time needed for correlations to span the whole pile and the time needed to create avalanches that span the whole pile (see text).

the exponent relations of Paczuski, Maslow and Bak [3]. We discuss and use two such relations.

The first scaling relation is found by calculating the volume V of the fractal avalanche. By definition, $V \sim L^D$. Also, this volume equals the fractal surface times the fractal height. The fractal surface area is the projection of the avalanche cluster on an average (flat) plane \mathbf{P} through the pile surface. This area is L^{d_B} where d_B is the surface fractal dimension of the avalanche cluster. The height or thickness of an avalanche is obtained by subtracting the heights (in the direction perpendicular to \mathbf{P}) of the piles before and after the avalanche. Since the avalanche modifies the pile only locally, this fractal thickness scales as the surface roughness and is proportional to L^{α} . Hence $V \sim L^{d_B}L^{\alpha}$. Combining both expressions for V yields $L^D \sim L^{d_B}L^{\alpha}$ from which we obtain the scaling relation

$$D = d_B + \alpha. (5)$$

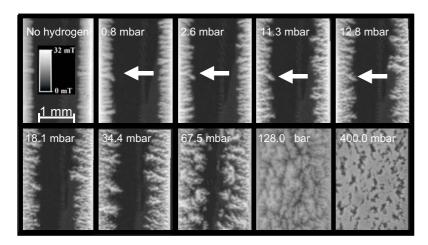
The second scaling relation follows from the fact that the deviation from power law behavior seen in Figure 4 occurs because avalanches above a certain size 'feel' that the pile is finite.

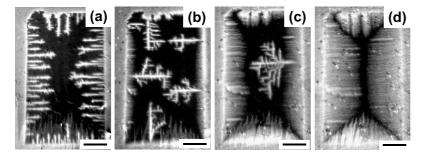
This, of course, occurs at a time t_{\times} (see Eq. (1)), when correlations and avalanches start to span the whole 'mountain'. By definition the size of such an avalanche is s_{\times} . Since we increase the external field at constant rate, t_{\times} also is proportional to the number of vortices M we must add to the pile to obtain such a 'mountain'-spanning avalanche. However, before we create an avalanche of size s_{\times} , many smaller avalanches have occurred, adding to the number of vortices that we have to add before a 'mountain'-spanning avalanche takes place. Thus M is equal to the integral of the size distribution function up to s_{\times} , i.e. the shaded area in Figure 6. This gives

$$t_{\times} \sim M = \int_{0}^{s_{\times}} sP(s) ds \sim \int_{0}^{s_{\times}} s s^{-\tau} ds \sim s_{\times}^{2-\tau}.$$
 (6)

In the FFS analysis we found that $s \sim L^D$, hence we obtain

$$t_{\times} \sim s_{\times}^{2-\tau} \sim L^{D(2-\tau)}.\tag{7}$$





Combination with equation (1) yields the exponent relation

$$D(2-\tau) = \frac{\alpha}{\beta}.$$
 (8)

Equations (5) and (8), which were previously derived by Paczuski et al. [3], offer an interesting possibility: one can calculate the roughness and growth exponents, α and β , from the *avalanche* properties only. In the table below we compare the values from such an analysis with those obtained above from a direct roughness analysis of the surface of the pile:

| | α | β |
|-------------------------|----------|--------|
| from roughness analysis | 0.8(1) | 0.6(1) |
| from avalanche analysis | 0.7(1) | 0.5(1) |

Clearly, a good agreement is found, which supports the underlying assumption i.e. that SOC theory yields a valid description of the avalanche behavior in this superconductor.

5 Transition from non-SOC to SOC

Naturally, one may wonder whether the avalanche and roughness properties in all superconductors are governed by SOC. To investigate this question, we study these properties as a function of quenched disorder. As a sample we use a 500 nm thick Niobium thin film on an R-plane (1 $\bar{1}02$) sapphire substrate, which is a superconductor with $T_c=9.25\,\mathrm{K}$, but which also absorbs hydrogen from the surrounding atmosphere. The hydrogen enters

Fig. 7. Magnetic flux distribution in a 500 nm thick Nb thin film on an R-plane sapphire substrate after zero field cooling to 4.2 K and subsequently applying a field of 6 mT, for different hydrogen loading pressures, as indicated. The black area corresponds to the vortex-free phase. Bright regions correspond to the vortex-phase. The arrows indicate a branching magnetic flux protrusion, of which the branching is increasing with increasing hydrogen content. (After Ref. [14].)

Fig. 8. Images of a Pb sample with square array of antidots. Fingerlike dendritic penetration occurs (a) at $\mu_0H=1.2\,\mathrm{mT}$ and $T=4.5\,\mathrm{K}$ and at (b) $\mu_0H=1.2\,\mathrm{mT}$ and $T=5.5\,\mathrm{K}$, treelike dendritic outburst coexisting with smooth flux penetration occurs at (c) $\mu_0H=1.5\,\mathrm{mT}$ and $T=6.0\,\mathrm{K}$, and a smooth profile is found at (d) $\mu_0H=1.5\,\mathrm{mT}$ and $T=6.5\,\mathrm{K}$. In the bottom part of the images a saw-tooth-like articact from the magneto-optical garnet is visible. The scale bar in each figure corresponds to 0.5 mm. (After Ref. [16].)

the Niobium lattice interstitially and at low temperatures precipitates in small non-superconducting clusters, that (like other defects) constitute strong pinning centers. The hydrogen gas absorption was always done at room temperature by applying a certain hydrogen gas pressure for 1 h. Typical flux penetration patterns are shown in Figure 7.

Avalanches are observed during the slow increase of the externally applied magnetic field, in similar manner as described above. The avalanches in the hydrogen-free sample are quite compact and all of similar size, while those in the hydrogenated sample (e.g. at 18.1 mbar) are more fractal and have a large range of sizes. As a consequence, a finite size scaling analysis [14] does work for the hydrogenated sample, but not for the hydrogen free sample. This, together with a significant change in fractal dimension [14], indicates that (at least for these samples) SOC occurs only if a minimal amount of static disorder is present. We conjecture that this is a more general phenomenon and that also on granular piles SOC behavior depends on the possibility that disorder (randomness) can develop on its surface.

6 Avalanches in patterned superconductors

If a superconductor is perforated with a square array of tiny holes $(1-10\,\mu\mathrm{m}$ in diameter and designated as 'antidots'), the moving vortices tend to be guided in the direction of the lattice vector of these holes [15]. In this case, avalanches are still possible, but they are guided in similar manner, reducing their fractal dimension, see Figure 8. However, still a power law distribution of avalanche sizes is observed [16]: the guiding apparently does not destroy SOC behavior.

7 Thermomagnetic avalanches

Interestingly, in very similar samples, 500 nm thick Niobium thin films on an A-plane $(11\bar{2}0)$ sapphire substrate (and without anti-dots), a completely different behavior is observed, see Figure 9. At low temperatures $(T \lesssim 5.7 \,\mathrm{K})$, upon increasing the field after cooling in zero field, initially, the vortex density is rather smooth, but somewhat plume-like, similar to what is shown in Figure 9f. After exceeding a certain distance from the edge, however, large avalanches occur, which span immediately nearly half of the width of the sample. At low temperature (Fig. 9a) these avalanches are much more narrow and less branched than at higher temperature (Fig. 9e). After each avalanche, the 'smooth' front starts again from the sample edge and runs over the previous, still existing, vortex landscape. As soon as it is close to the previous front, a new avalanche may occur. The avalanches do not reproduce in the same spot from one experiment to the next, indicating that the phenomenon is due to an intrinsic instability and not to defects in the samples. Recently Aranson et al. [5] developed a nice theoretical model, which was also used as the basis for numerical simulations, which very closely resemble the experimental results (similar experimental results were obtained before, see references 3-9 of reference [5], while similar models were developed by Shantsev et al. [18] and Baggio et al. [19]). In this model, the system is described by two coupled differential equations:

$$\dot{\theta} = \nabla^2 \theta - \theta + \gamma \mathbf{j}^2 r \tag{9}$$

$$\tau \dot{g} = \hat{K} \left\{ \frac{\partial}{\partial x} \left[r \frac{\partial g}{\partial x} \right] + \frac{\partial}{\partial y} \left[r \frac{\partial g}{\partial y} \right] - \tau \dot{H}_0 \right\}$$

where θ is a reduced temperature, \mathbf{j} is the current density and $r = r(j, \theta)$ the resistivity of the superconductor, g is a local magnetization, defined by $\mathbf{j} = \nabla \times g(\mathbf{s}) \,\hat{z}$. For thin film samples, like ours, the second equation is highly non-local, which is described by the kernel \hat{K} . The parameter γ contains the thermal properties of the film and its contact to the substrate, while the parameter τ is the ratio between magnetic and thermal diffusion times. Small values of τ make the system more unstable. For a detailed comparison between model and experiment see reference [20].

8 Conclusion

We find evidence for SOC behavior of avalanches on the vortex landscape of many different superconductors, provided that enough (quenched) disorder is present. This SOC (avalanche) behavior is preserved in the presence of a square array of strong pins (anti-dots). For superconductors close to thermal runaway, only large avalanches occur, all of similar size (non-SOC behavior).

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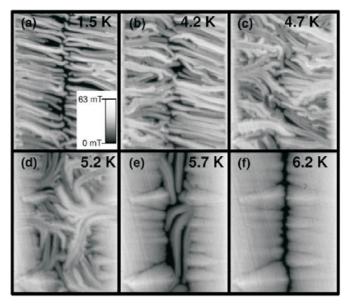


Fig. 9. Magnetic flux distribution in Nb thin film on an A-plane sapphire substrate at 40.0 mT after cooling in zero field to the temperatures indicated. The scale-bar indicates the local magnetic field. (After Ref. [17].)

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